

# Naphthenic Acids Category Robust Summaries

American Petroleum Institute Petroleum  
HPV Testing Group

Consortium # 1100997

## I U C L I D Data Set

**Existing Chemical** : Naphthenic Acids Category  
**CAS No.** : 1338-24-5, 64754-89-8 (and supporting chemical 61790-13-4)  
**EINECS Name** :

**Producer related part**  
**Company** :  
**Creation date** :

**Substance related part**  
**Company** : American Petroleum Institute  
**Creation date** : May 15, 2012

**Status** :  
**Memo** : Robust summary

**Printing date** :  
**Revision date** :  
**Date of last update** :

**Number of pages** : 89

**Chapter (profile)** : Chapter: 1, 2, 3, 4, 5, 6, 7, 8, 10  
**Reliability (profile)** : Reliability: without reliability, 1, 2, 3, 4  
**Flags (profile)** :

## 1.0.1 APPLICANT AND COMPANY INFORMATION

## 1.0.2 LOCATION OF PRODUCTION SITE, IMPORTER OR FORMULATOR

## 1.0.3 IDENTITY OF RECIPIENTS

## 1.0.4 DETAILS ON CATEGORY/TEMPLATE

### 1.1.0 SUBSTANCE IDENTIFICATION

#### 1.1.1 GENERAL SUBSTANCE INFORMATION

**Purity type** :  
**Substance type** :  
**Physical status** :  
**Purity** :  
**Colour** :  
**Odour** :

**Remark** : Naphthenic acid fractions are oily liquids. The salts may be liquid or solid. Naphthenic acids (CASRN 1338-24-5, 64754-89-8, and 61790-13-4) are classified as monobasic carboxylic acids of the general formula RCOOH, where R represents the naphthene moiety consisting of cyclopentane and cyclohexane derivatives. Naphthenic acids are composed predominantly of alkyl-substituted cycloaliphatic carboxylic acids, with smaller amounts of acyclic aliphatic acids. The cycloaliphatic acids include single and fused multiple cyclopentane and cyclohexane rings. The carboxyl group is usually attached to a side chain rather than directly to the ring. Aromatic, olefinic, hydroxy and dibasic acids are present as minor components.

Naphthenic acids recovered from refinery streams occur naturally in the crude oil and are not formed during the refining process. Heavy crudes have the highest acid content, and paraffinic crudes usually have low acid content. Naphthenic acids are obtained by caustic extraction of petroleum distillates, primarily kerosene and diesel fractions.

**Reference**

#### 1.1.2 SPECTRA

## 1.2 SYNONYMS AND TRADENAMES

1.3 IMPURITIES

1.4 ADDITIVES

1.5 TOTAL QUANTITY

1.6.1 LABELLING

1.6.2 CLASSIFICATION

1.6.3 PACKAGING

1.7 USE PATTERN

1.7.1 DETAILED USE PATTERN

1.7.2 METHODS OF MANUFACTURE

1.8 REGULATORY MEASURES

1.8.1 OCCUPATIONAL EXPOSURE LIMIT VALUES

1.8.2 ACCEPTABLE RESIDUES LEVELS

1.8.3 WATER POLLUTION

1.8.4 MAJOR ACCIDENT HAZARDS

1.8.5 AIR POLLUTION

1.8.6 LISTINGS E.G. CHEMICAL INVENTORIES

1.9.1 DEGRADATION/TRANSFORMATION PRODUCTS

# 1. General Information

**Id** Naphthenic Acids  
**Date** May 15, 2012

## 1.9.2 COMPONENTS

## 1.10 SOURCE OF EXPOSURE

## 1.11 ADDITIONAL REMARKS

## 1.12 LAST LITERATURE SEARCH

## 1.13 REVIEWS

## 2. Physico-Chemical Data

**Id** Naphthenic Acids  
**Date** May 15, 2012

### 2.1 MELTING POINT

|                       |   |  |
|-----------------------|---|--|
| <b>Sublimation</b>    | : |  |
| <b>Method</b>         | : |  |
| <b>Year</b>           | : |  |
| <b>GLP</b>            | : | no data  |
| <b>Test substance</b> | : | other TS: Naphthenic acids, commercial mixtures  |
| <b>Remark</b>         | : | Values cited represent ranges of melting points cited in product literature data and Material Safety Data Sheet for commercial naphthenic acid products. |
| <b>Result</b>         | : | -35 °C to + 0 °C (Soc Tech, 2003)<br>-35 °C to + 2 °C (AGS Chemicals, 2003)<br>+30 °C (Mallincrodt Baker, 1997)  |
| <b>Reliability</b>    | : | (4) not assignable<br>Original source data were not available for review.  |
| <b>Reference</b>      |   | (2) (23) (34)  |

### 2.2 BOILING POINT

|                       |   |   |
|-----------------------|---|---|
| <b>Decomposition</b>  | : |   |
| <b>Method</b>         | : |   |
| <b>Year</b>           | : |   |
| <b>GLP</b>            | : |   |
| <b>Test substance</b> | : | other TS: Naphthenic Acids (CAS Nos. 001338-24-5; 061790-13-4; 064754-89-8)   |
| <b>Remark</b>         | : | Values reported vary widely due to varied composition of the hydrocarbon mixture in naphthenic acids. Values given represent various commercial preparations of naphthenic acids. |
| <b>Result</b>         | : | 250 °C to 350 °C (Soc. Tech., 20031)<br>140 °C to 200 °C (AGS Chemicals, 20032)<br>200 °C to 370 °C (Brient et al., 1995)   |
| <b>Reliability</b>    | : | (4) not assignable  |
| <b>Reference</b>      |   | (3) (5) (35)  |

### 2.3 DENSITY

#### 2.3.1 GRANULOMETRY

### 2.4 VAPOUR PRESSURE

|                       |   |   |
|-----------------------|---|---|
| <b>Decomposition</b>  | : |   |
| <b>Method</b>         | : | other (calculated): EPIWIN, MPBPWIN V1.40 (US EPA 2000)                     |
| <b>Year</b>           | : |   |
| <b>GLP</b>            | : |   |
| <b>Test substance</b> | : | other TS: Naphthenic Acids (CAS Nos. 001338-24-5; 061790-13-4; 064754-89-8) |
| <b>Remark</b>         | : | A search for pressure values of naphthenic acids failed to                  |

uncover reliable information. Product literature data provided narrative phrases such as "very low" or "not applicable" when describing the vapor pressure characteristic for commercial products (SocTech, S.A., 2003; AGS Chemicals Limited. 2003). To gain an understanding of vapor pressure characteristics of naphthenic acids, various naphthenic acid structures described by Brient et al. (1995) were estimated for vapor pressure using the EPIWIN computer model (U.S. EPA 2000).

The vapor pressure of complex mixtures is equal to the sum of the vapor pressures of the individual constituents in their pure form times their mole fraction in the mixture (Raoult's Law). Therefore, the total vapor pressure of a complex mixture of naphthenic acids will depend on the proportion of different molecular weight constituents making up the mixture. It is estimated from vapor pressure modeling that commercial products will have vapor pressure values near or below the measurable limits cited in standard reference guidelines (OECD Guideline 104, Vapor Pressure; OECD, 1995). Hence, based on Raoult's Law, the total vapor pressure of naphthenic acids is expected to be exceedingly low.

|               |  |       |   |                  |  |
|---------------|--|-------|---|------------------|--|
| <b>Result</b> | :  | C     | Mole.   | Vapor            |  |
|               | Naphthenic Acid  | Z-No. | No.   | Wt. Pressure, Pa |  |
|               | decanoic acid  | 0     | 10  | 172 0.049        |  |
|               | dodecanoic acid  | 0     | 12  | 200 0.0021       |  |
|               | 2-methyl, 1-cyclopentyl propanoic acid                     | -2    | 10  | 170 0.32         |  |
|               | 4-methyl, 1-cyclohexyl decabutanoic acid                   | -2    | 21  | 325 0.000020     |  |
|               | 3-methyl, bicyclooctyl-[3.3]-7-propanoic acid              | -4    | 12  | 196 0.042        |  |
|               | 3-methyl, bicyclodecyl-[4.4]-8-decanoic acid               | -4    | 21  | 323 0.000019     |  |
|               | 3-methyl, tricyclodecapropyl-[3.3.3]-11-propanoic acid     | -6    | 17  | 264 0.00056      |  |
|               | 3-methyl, tricyclodecapropyl-[3.3.3]-11-Heptanoic acid     | -6    | 21  | 321 0.000019     |  |
|               | 3-methyl, tetracyclodecaheptyl-[4.2.2.2]-11 propanoic acid | -8    | 21  | 319 0.000021     |  |
|               | <b>Test condition</b>                                      | :     | Not applicable, vapor pressures were calculated by MPBPWIN, V1.40, EPIWIN V3.10                           |                  |  |
|               | <b>Reliability</b>   | :     | (2) valid with restrictions<br>Estimated vapor pressures were obtained from a validated computer program. |                  |  |
|               | <b>Reference</b>   | :     | (1) (25) (29) (33) (38)   |                  |  |

## 2.5 PARTITION COEFFICIENT

|                       |   |  |
|-----------------------|---|--|
| <b>Method</b>         | : | other (calculated): EPIWIN, KOWWIN V1.66 (US EPA 2000)   |
| <b>Year</b>           | : | 2000   |
| <b>GLP</b>            | : |  |
| <b>Test substance</b> | : | other TS: Naphthenic Acids (CAS Nos. 001338-24-5; 061790-13-4; 064754-89-8)  |
| <b>Remark</b>         | : | No partition coefficient measurements were found for naphthenic acids. Therefore, partition coefficients for a range of molecular weight naphthenic acids were estimated |

## 2. Physico-Chemical Data

**Id** Naphthenic Acids  
**Date** May 15, 2012

using the EPIWIN computer model (U.S. EPA 2000). The partition coefficients reported here span the molecular weights and numbers of cycloalkane rings reported to exist in Athabasca oil sands extracts and commercial products (Brient et al. 1995). It may be expected, however, that the lowest molecular weight structures will have the lowest partition coefficients of the compounds in the complex mixtures.

|                       |   |  |       |       |     |     |
|-----------------------|---|--|-------|-------|-----|-----|
| <b>Result</b>         | : |  | C     | Mole. | Log |     |
|                       |   | Naphthenic Acid  | Z-No. | No.   | Wt. | Kow |
|                       |   | decanoic acid  |       |       |     |     |
|                       |   | 0  | 10    | 170   | 4.1 |     |
|                       |   | dodecanoic acid  |       |       |     |     |
|                       |   | 0  | 12    | 200   | 4.6 |     |
|                       |   | 2-methyl, 1-cyclopentyl propanoic acid   |       |       |     |     |
|                       |   | -2   | 10    | 170   | 3.8 |     |
|                       |   | 4-methyl, 1-cyclohexyl decabutoanoic acid  |       |       |     |     |
|                       |   | -2   | 21    | 325   | 9.2 |     |
|                       |   | 3-methyl, bicyclooctyl-[3.3]-7-propanoic acid  |       |       |     |     |
|                       |   | -4   | 12    | 196   | 3.8 |     |
|                       |   | 3-methyl, bicyclodecyl-[4.4]-8-decanoic acid   |       |       |     |     |
|                       |   | -4   | 21    | 323   | 8.2 |     |
|                       |   | 3-methyl, tricyclodecapropyl-[3.3.3]-11-propanoic acid   |       |       |     |     |
|                       |   | -6   | 17    | 264   | 6.0 |     |
|                       |   | 3-methyl, tricyclodecapropyl-[3.3.3]-11-Heptanoic acid   |       |       |     |     |
|                       |   | -6   | 21    | 321   | 8.0 |     |
|                       |   | 3-methyl, tetracyclodecaheptyl-[4.2.2.2]-11 propanoic acid   |       |       |     |     |
|                       |   | -8   | 21    | 319   | 6.3 |     |
| <b>Test condition</b> | : | Not applicable, partition coefficients were calculated by KOWWIN, V1.66, EPIWIN V3.10                            |       |       |     |     |
| <b>Reliability</b>    | : | (2) valid with restrictions<br>Estimated partition coefficients were obtained from a validated computer program. |       |       |     |     |
| <b>Reference</b>      |   |  |       |       |     |     |

(5) (38)

### 2.6.1 SOLUBILITY IN DIFFERENT MEDIA

| PHYS CHEM<br>WATER SOLUBILITY   |   |
|---|---|
| <b>Category Chemical :</b>  | Naphthenic acids, CAS no. 1338-24-5   |
| <b>Test Substance :</b>   | Naphthenic acids, CAS no. 1338-24-5   |
| <b>Test Substance Purity/Composition and Other Test Substance Comments:</b> | Specific analyses of the test substance:<br>Acid number: 235 mg KOH/gm<br>Unsaponifiables (total): 4.9%<br>Viscosity @40°C: 32 cst<br>Specific gravity @20°C: 0.969<br>Color (Garner), GI 4.5<br>Water content: 0.07%<br>Phenolic content (acid): 0.31%<br>Total sulfur: 0.34<br>CP - Flash point °F (COC): 343 |
| <b>Category Chemical Result Type :</b>                                      | Measured  |
| <b>Test Substance Result Type :</b>   | Measured  |
| RESULTS   |   |
| <b>Water Solubility Indicator :</b>   |   |

## 2. Physico-Chemical Data

**Id** Naphthenic Acids  
**Date** May 15, 2012

|   |  |
|---|--|
| <b>Water Solubility Input type:</b>   | Value or Range?  |
| <b>Water Solubility Value/Range :</b> <b>Solubility:</b> = 88.1 mg/L @ <b>Temperature:</b> approximately 20°C |  |
| <b>pH Value :</b>   | <b>Value or Lower Range:</b> 7.5<br><b>Upper Range :</b>   |
| <b>pKa - Protein Kinase:</b>  |  |
| <b>pH Value at Saturation :</b>   |  |
| <b>Results Remarks :</b>  | The solubility value represented the measured concentration of total dissolved naphthenic acids in the water accommodated fraction of freshwater algal nutrient medium (pH 7.5) using a loading rate of 100 mg/L. Higher solubility concentrations may be achieved using higher loading rates. |

### STUDY/METHOD

|   |   |
|---|---|
| <b>Key Study Sponsor Indicator :</b>                | Key   |
| <b>Year Study Performed :</b>                       | 2009  |
| <b>Method/Guideline Followed :</b>                  | Other, similar to OECD 105 flask method   |
| <b>Method/Guideline and Test Condition Remarks:</b> | <p>A 100 mg/L loading rate solution of naphthenic acids in freshwater algal nutrient medium was prepared in an aspirator bottle containing a Teflon stir bar. Triplicate bottles were prepared in this manner. The bottles were placed on magnetic stir plates and stirred at a rate to maintain a vortex of approximately 30-50% of the static solution depth. One of the aspirator bottles was removed from the stir plates at 18, 24, and 72 hours and allowed to settle for one hour. After settling, solutions were drained from the bottom outlet of the aspirator bottle into a sample bottle. The first 100 mL was sent to waste and care was taken to ensure that no insoluble fraction was carried over into the sample bottle.</p> <p>Test solutions were analyzed for the concentration of naphthenic acid, using Fourier transform infrared spectroscopy (FTIR). Analysis was accomplished based on a method developed at ABC Laboratories following Jivraj et al. 1991.</p> |
| <b>GLP :</b>  | Yes   |
| <b>Study Reference :</b>                            | ABC Laboratories Inc. 2009. Validation of test solution preparations and analytical methods for use in the determination of naphthenic acids in various media used in environmental toxicity studies. ABC study no. 64403, Analytical Bio-Chemistry Laboratories, Columbia, Missouri.   |

### RELIABILITY/DATA QUALITY

|                              |                                   |
|------------------------------|-----------------------------------|
| <b>Reliability :</b>         | 1 (reliable without restrictions) |
| <b>Reliability Remarks :</b> | comparable to a guideline study   |

### PHYS CHEM WATER SOLUBILITY

|   |           |
|---|-----------|
| <b>Category Chemical :</b>  | 1338-24-5 |
| <b>Test Substance :</b>   | 1338-24-5 |
| <b>Test Substance Purity/Composition and Other Test Substance Comments:</b> |           |
| <b>Category Chemical Result Type :</b>                                      |           |



## 2. Physico-Chemical Data

**Id** Naphthenic Acids  
**Date** May 15, 2012

|   |  |
|---|--|
| <b>Test Substance Result Type :</b>                 |  |
| <b>RESULTS</b>                                      |  |
| <b>Water Solubility Indicator :</b>                 |  |
| <b>Water Solubility Input type:</b>                 | Value or Range? RANGE  |
| <b>Water Solubility Value/Range :</b>               | <b>Solubility:</b> 70 mg/L to 5040 mg/L @ <b>Temperature:</b> 25°C   |
| <b>pH Value :</b>                                   | <b>Value or Lower Range:</b> 0.91<br><b>Upper Range :</b> 9.16   |
| <b>pKa - Protein Kinase:</b>                        |  |
| <b>pH Value at Saturation :</b>                     |  |
| <b>Results Remarks :</b>                            | The solubility values were provided in a general background report on naphthenic acids. The report cited the solubility data originated from a commercial standard liquid formulation obtained from Baker Chemical Co. The original data were taken by CEATAG (1998) from Kharrat (1996).  |
| <b>STUDY/METHOD</b>                                 |  |
| <b>Key Study Sponsor Indicator :</b>                |  |
| <b>Year Study Performed :</b>                       |  |
| <b>Method/Guideline Followed :</b>                  |  |
| <b>Method/Guideline and Test Condition Remarks:</b> |  |
| <b>GLP :</b>  |  |
| <b>Study Reference :</b>                            | CEATAG (CONRAD Environmental Aquatics Technical Advisory Group). 1998. Naphthenic acids background information discussion report. Alberta Department of Energy, Edmonton, Alberta, Canada. 65 pp.<br><br>Kharrat, A. 1996. Physico-chemical properties of naphthenic acids. Alberta Environmental Centre Progress Report October 1, 1005 – March 31, 1996. XD952287.RPT/6/4/96/PS. |
| <b>RELIABILITY/DATA QUALITY</b>                     |  |
| <b>Reliability :</b>                                | 4 (not assignable)   |
| <b>Reliability Remarks :</b>                        | Data retrieved from a secondary reference. The original report that contained details of the methods and results was not available for review.   |

**Memo** : Water solubility of naphthenic acids

**Remark** : Values of water solubility reported in product literature data have varied widely. CEATAG (1998) reported water solubility values of one commercial product to range from 70 mg/l at pH 0.91 to 5040 mg/l at pH 9.16. Other product data sources for water solubility report narrative phrases such as "very low water solubility" (SocTech S.A., 2003), "not applicable" (Mallinckrodt Baker Inc., 1997), or "only slightly soluble in water" (AGS Chemicals Limited, 2003).

**Reliability** : (4) not assignable  
 Data were obtained from secondary literature sources.

04.01.2005

(1) (8) (22) (33)

## 2. Physico-Chemical Data

**Id** Naphthenic Acids  
**Date** May 15, 2012

2.6.2 SURFACE TENSION

2.7 FLASH POINT

2.8 AUTO FLAMMABILITY

2.9 FLAMMABILITY

2.10 EXPLOSIVE PROPERTIES

2.11 OXIDIZING PROPERTIES

2.12 DISSOCIATION CONSTANT

2.13 VISCOSITY

2.14 ADDITIONAL REMARKS

## 3.1.1 PHOTODEGRADATION

**Deg. product** :  
**Method** : other (calculated): EPIWIN V3.10; subroutine AOPWIN V1.90  
**Year** :  
**GLP** :  
**Test substance** : other TS: Naphthenic Acids (CAS Nos. 001338-24-5; 061790-13-4; 064754-89-8)

**Remark** : AOPWIN V1.90 calculates atmospheric oxidation rate constants between photochemically produced hydroxyl radicals and organic chemicals. These rate constants are then used to calculate half lives for those compounds based on average atmospheric concentrations of hydroxyl radicals and ozone. Atmospheric oxidation rates were calculated for a range of molecular structures covering a range of molecular weights and ring structures that were reported to exist in Athabasca oil sands extracts and commercial products (Rogers et al., 2002; Brient et al. 1995).

**Result** : Although the low vapor pressures of these base oils indicate that volatilization will not be a very significant fate process, oxidation half-lives indicate that any vapors emitted to the troposphere would be rapidly oxidized and not persist in the atmosphere.

|  | C     | Mole. | Half |            |
|--|-------|-------|------|------------|
| Naphthenic Acid  | Z-No. | No.   | Wt.  | Life, days |
| 2-methyl, 1-cyclopentyl propanoic acid                     | -2    | 10    | 170  | 0.9        |
| 4-methyl, 1-cyclohexyl decabutanoic acid                   | -2    | 21    | 325  | 0.3        |
| 3-methyl, bicyclooctyl-[3.3]-7-propanoic acid              | -4    | 12    | 196  | 0.8        |
| 3-methyl, bicyclodecyl-[4.4]-8-decanoic acid               | -4    | 21    | 323  | 0.3        |
| 3-methyl, tricyclodecapropyl-[3.3.3]-11-propanoic acid     | -6    | 17    | 264  | 0.3        |
| 3-methyl, tricyclodecapropyl-[3.3.3]-11-Heptanoic acid     | -6    | 21    | 321  | 0.3        |
| 3-methyl, tetracyclodecaheptyl-[4.2.2.2]-11 propanoic acid | -8    | 21    | 319  | 0.3        |

**Test condition** : Not applicable, photodegradation potential was calculated by AOPWIN, V1.90, EPIWIN V3.10

**Reliability** : (2) valid with restrictions  
 Estimated water solubility values were obtained from a validated computer program.

**Reference** (5) (30) (38)

## 3.1.2 STABILITY IN WATER

**Remark** : Hydrolysis of an organic chemical is the transformation process in which a water molecule or hydroxide ion reacts to form a new carbon-oxygen bond. Chemicals that have a potential to hydrolyze include alkyl halides, amides, carbamates, carboxylic acid esters and lactones, epoxides, phosphate esters, and sulfonic acid esters (Harris, 1982).

The chemical components found in the materials that comprise the gas oil category are hydrocarbons that are not subject to hydrolysis because they lack functional groups that hydrolyze.

Reference (15)

3.1.3 STABILITY IN SOIL

3.2.1 MONITORING DATA

3.2.2 FIELD STUDIES

3.3.1 TRANSPORT BETWEEN ENVIRONMENTAL COMPARTMENTS

Type :  
Media :  
Air : % (Fugacity Model Level I)  
Water : % (Fugacity Model Level I)  
Soil : % (Fugacity Model Level I)  
Biota : % (Fugacity Model Level II/III)  
Soil : % (Fugacity Model Level II/III)  
Method : other: Level 1 Fugacity-Based Environmental Equilibrium Partitioning Model (Version 2.11)

Year :

Remark : Multimedia distribution was calculated for a range of naphthenic acids covering molecular weight and ring structures of such constituents found in Athabasca oil sands extracts and commercial products (Rogers et al., 2002; Brient et al., 1995).

Result : Air / Water / Soil / Sediment / Suspended Sediment / Biota

Naphthenic Acid Type (Z-number)(C-number)(Molecular Weight)

|   | Air  | Water | Soil | Sed  | Susp Sed | Biota |
|---|------|-------|------|------|----------|-------|
| 2-methyl,1-cyclopentyl propanoic acid (-2)(10)(170)                   |      |       |      |      |          |       |
| 2   | 16   | 81    | 1.8  | <0.1 | <0.1     |       |
| 4-methyl,1-cyclohexyl decabutanolic acid (-2)(21)(325)                |      |       |      |      |          |       |
|   | <0.1 | <0.1  | 98   | 2    | <0.1     | <0.1  |
| 3-methyl, bicyclooctyl-[3.3]-7-propanoic acid (-4)(12)(196)           |      |       |      |      |          |       |
|   | 0.4  | 15    | 83   | 2    | <0.1     | <0.1  |
| 3-methyl, bicyclodecyl-[4.4]-8-decanoic acid (-4)(21)(323)            |      |       |      |      |          |       |
|   | <0.1 | <0.1  | 98   | 2    | <0.1     | <0.1  |
| 3-methyl, tricyclodecapropyl-[3.3.3]-11- propanoic acid (-6)(17)(264) |      |       |      |      |          |       |
|   | <0.1 | 0.1   | 98   | 2    | <0.1     | <0.1  |

### 3. Environmental Fate and Pathways

**Id** Naphthenic Acids  
**Date** May 15, 2012

3-methyl, tricyclodecapropyl-[3.3.3]- 11 heptanoic acid  
(-6)(21)(321)

<0.1 <0.1 98 2 <0.1 <0.1

3-methyl, tetracyclodecaheptyl-[4.2.2.2]-11 propanoic acid  
(-8)(21)(319)

<0.1 <0.1 98 2 <0.1 <0.1

**Test condition** : The EQC Level I is a steady state, equilibrium model that utilizes the input of basic chemical properties including molecular weight, vapor pressure, and water solubility to calculate distribution within a standardized regional environment.

**Reliability** : (2) valid with restrictions  
Estimated environmental distribution was obtained from a validated computer program.

**Reference** (5) (30) (21)

#### 3.3.2 DISTRIBUTION

#### 3.4 MODE OF DEGRADATION IN ACTUAL USE

#### 3.5 BIODEGRADATION

**Remark** : No standardized testing for ready or inherent biodegradation was found for naphthenic acids. Results of relevant scientific journal articles on the biodegradability of naphthenic acids are reviewed in Section 3.8

**Reference**

#### 3.6 BOD5, COD OR BOD5/COD RATIO

#### 3.7 BIOACCUMULATION

#### 3.8 ADDITIONAL REMARKS

**Memo** : Biodegradation of cycloalkane carboxylic acids in oil sand tailings

**Remark** : Herman et al. (1994) investigated the ability of microbial populations indigenous to oil sands tailings to biodegrade solutions of natural naphthenic acids from oil sands tailings and commercial naphthenic acid sodium salts (Kodak Chemicals).

Four experiments were run:

- 1) Evaluation of mineralization of naphthenic acids sodium salts (NAS) and oil sands tailings extracts of naphthenic acids (TEX),
- 2) Evaluation of mineralization of four model naphthenic

acid compounds, cyclohexane carboxylic acid (CCA), cyclohexane pentanoic acid (CPA) 2-methyl-1-cyclohexane carboxylic acid (2MCCA), and trans-4-pentylcyclohexane carboxylic acid (4PCCA),  
3) Gas chromatographic analysis of NAS and TEX biodegradation, and  
4) Respirometry measurements of cyclohexane pentanoic acid, NAS, and TEX in tailings microcosms.

Test Substances: Test substances used in the four experiments included the following materials: 1) Tailings water extract (TEX), 2) commercial sodium naphthenate mixture (NAS), and 3) pure compound naphthenic acids, cyclohexane carboxylic acid (CCA), cyclohexane pentanoic acid (CPA), 2-methyl-1-cyclohexane carboxylic acid (2MCCA), and trans-4-pentylcyclohexane carboxylic acid (4PCCA).

Inoculum: Inoculum used in the biodegradation experiments was NAS- and TEX- degrading enrichment cultures derived from oil sands tailings water. These cultures were created by diluting a 10-ml sample of oil sands tailing into 90 ml of mineral salts medium that contained either NAS (100 mg/l) or TEX (1:50 dilution). The mineral salts medium was modified Bushnell-Haas medium. Successive transfers 1% v/v of the enrichment culture into fresh NAS- to TEX-containing medium were on monthly basis and incubated at room temperature on a gyratory shaker (100 rpm). The viable cell number within each enrichment culture was estimated using the plate count technique.

Experiment No. 1. A measurement of CO<sub>2</sub> production was used to evaluate the ability of the enrichment cultures to mineralize components within both the NAS and TEX mixtures. Mineralization experiments were performed using 60-ml serum bottles containing 15 ml of growth medium. The growth medium consisted of sterilized mineral salts medium with NAS (100 mg/l) or TEX (1:20 and 1:50 dilutions) as the sole carbon source. Dissolve organic carbon analyses showed that 100 mg/l of NAS contained 60 mg C/l, while 1:20 and 1:50 dilutions of TEX contained 50 and 21 mg C/l, respectively. The serum bottles were inoculated with 0.15 ml of either the NAS-degrading or the TEX-degrading enrichment culture, sealed with rubber stoppers, and incubated at room temperature on a gyratory shaker (100 rpm). At 3 to 6-day intervals over 24 to 30 days, three inoculated bottles and one control (inoculated but lacking NAS or TEX) were acidified to pH <2 using 1 ml of 1M H<sub>2</sub>SO<sub>4</sub> to convert all forms of inorganic carbon into CO<sub>2</sub>. A 0.5 ml headspace sample from each bottle was analyzed for CO<sub>2</sub> content by gas chromatography. Mineralization of the organic substrate was first corrected for the amount of CO<sub>2</sub> in the control bottles, then expressed either as the total amount of CO<sub>2</sub> produced within the bottle or as the percentage of organic carbon converted to CO<sub>2</sub>.

Results of Experiment No. 1. The mineralization studies showed that the NAS- and TEX-degrading enrichment culture was capable of mineralizing components within both the NAS and TEX mixtures. The percentage of organic carbon converted to CO<sub>2</sub> by the NAS-degrading culture was 48% (day 24) in the NAS bottles and 20% (day 20) in the TEX bottles. The percentage of organic carbon converted to CO<sub>2</sub> by the

TEX-degrading culture was 34% (day 30) for the TEX bottles and 20% (day 25) for the NAS bottles.

Experiment No. 2. Mineralization of the four model naphthenic acid compounds was measured as the amount of CO<sub>2</sub> evolved from incubating solutions of the compounds dissolved in nutrient medium and inoculated with enrichment cultures of NAS-degrading microorganisms, TEX-degraders, or oil sands tailings pond water (TPW). Fifteen milliliters of 1 mM solutions of the compounds dissolved in mineral salts medium were placed in 60-ml serum bottles and inoculated (1% v/v) with the different sources of microbes then sealed with rubber stoppers. Bottles were incubated at room temperature on a gyratory shaker (100 rpm). After 3, 6, 12, and 24 days, duplicate bottles were acidified and headspace CO<sub>2</sub> determined by GC. The level of CO<sub>2</sub> production was corrected for the amount of CO<sub>2</sub> within the control bottles and expressed as the percentage of organic substrate converted to CO<sub>2</sub>.

Results of Experiment No. 2. The following results were obtained:

Mineralization by day 24, % organic C converted to CO<sub>2</sub>:

| Substrate | NAS-degraders | TEX-degraders | TPW |
|-----------|---------------|---------------|-----|
| CCA       | 41            | 56            | 57  |
| CPA       | 45            | 57            | 58  |
| 2MCCA     | 47            | 7             | 67  |
| 4PCCA     | 6             | 24            | 24  |

Experiment No. 3. A 1% (v/v) inoculum of the NAS-degrading enrichment culture was placed in 125-ml Erlenmeyer flasks containing 50 ml of either NAS (30 mg/l) or TEX (1:50 dilution) in mineral salts medium. Control flasks received inoculum of heat-killed cells. The flasks were incubated at room temperature on a gyratory shaker (100 rpm). After an incubation period of 4, 8, and 16 days for NAS and 6, 12, and 24 days for TEX, the contents of two flasks and two control flasks were extracted for GC analysis. Samples were extracted and the carboxylic acids were derivatized to methyl esters prior to analysis. Derivatized extracts were analyzed by GC with a capillary column and flame ionization detector.

Results of Experiment No. 3. Chromatographic analysis of solution from the control flasks revealed an unresolved series of many overlapping peaks that created a hump in the GC profile. When the mixture that was inoculated with NAS-enrichment culture, a reduction in the size of the hump was evident within 4 days, indicating that components within the naphthenic acid mixture were being degraded. Chromatographic analysis of the TEX samples revealed a similar hump of many overlapping peaks that appeared in the NAS GC profile. Biodegradation of TEX by the NAS-degrading culture did not result in a noticeable reduction in the size of the hump associated with TEX, despite evidence of mineralization of components within the mixture.

Experiment No. 4. A measurement of CO<sub>2</sub> production and O<sub>2</sub> utilization within sealed microcosms was used to monitor microbial activity in samples of TPW, and to determine the

effect of nutrient addition (N and P) or carbon substrate addition (cyclohexane pentanoic acid (CPA), sodium salts of naphthenic acids (NAS), or tailings pond extracts of carboxylic acids (TEX)) on the level of microbial activity within TPW.

60 ml of TPW was placed into sterile 125-ml Erlenmeyer flasks, sealed with rubber stoppers in which a sampling port had been drilled and then sealed with clear silicone. Nutrients in the form of N and P were added. Carbon substrates (CPA, NAS or TEX) were added as a filter-sterilized solution to create a final concentration of 60 mg organic carbon/l. All flasks were incubated at room temperature on a gyratory shaker (100 rpm). At 3 to 80 day intervals, 0.5 ml of headspace was sampled and analyzed for CO<sub>2</sub> and O<sub>2</sub> using GC. Following 5 weeks of incubation, the contents of the flasks containing CPA were extracted and analyzed using the procedure described for the GC analysis in experiment 3.

Results of Experiment No. 4. The addition of CPA to TPW resulted in increased microbial activity, as indicated by greater levels of CO<sub>2</sub> production and O<sub>2</sub> utilization when compared with TPW alone. Sterilized TPW demonstrated no CO<sub>2</sub> production or O<sub>2</sub> utilization. Even greater levels of microbial activity were evident when N and P were added in addition to CPA, indicating that mineralization could be enhanced by the addition of mineral nutrients. GC analysis of CPA in TPW microcosms after 35 d of incubation revealed that the concentration of CPA was below the level of detection in 2/3 microcosms and reduced 10-fold in the third microcosm. There was no detectable CPA in the three N and P-amended microcosms.

Similarly, NAS and TEX additions to microcosms increased microbial activity in TPW, although microbial activity was enhanced by the addition of N and P. Increases in both CO<sub>2</sub> evolution and O<sub>2</sub> utilization were seen.

Conclusions. This investigation showed that naphthenic acids, either as a commercial preparation of sodium salt (NAS) or natural extracts from oil sands tailing water (TEX) are capable of being utilized by natural assemblages of microorganisms. Addition of nitrogen and phosphorus enhances the utilization of these substrates by the microbes.

**Reliability** : (2) valid with restrictions  
The report was a well-documented study that meets basic scientific principles.

**Reference** (19)

**Memo** : Biodegradation of naphthenic acids

**Remark** : Herman et al. (1993) conducted four experiments on the biodegradation of specific cycloalkane carboxylic acids:

Experiment No. 1. Biodegradation of four naphthenic acid compounds (cyclopentane carboxylic acid, CCP; cyclohexane carboxylic acid, CCH; 1-methyl-1-cyclohexane carboxylic acid, 1MCCH; and 2-methyl-1-cyclohexane carboxylic acid, 2MCCH) was measured in pore water from Athabasca oil sands tailings ponds. The purpose of the tailings ponds was to



serve as a settling basin to separate solids from liquid generated during the extraction of acidic compounds from bitumen. Therefore, the tailings ponds were considered to harbor indigenous microorganisms adapted to naphthenic acids. The collected pore water was centrifuged and filtered and served as the nutrient medium. Inoculum was 0.5 ml of the original oil sands tailings sample. Duplicate flasks containing 30 ml of medium were spiked with 1-ml aliquots of stock solutions of the different naphthenic acids to achieve a final concentration of 1000 mg/l. Test flasks received the inoculum and control flasks received inoculum in which the microbes had been heat-killed. One set of duplicate flasks received a nutrient addition in the form of  $\text{NH}_4\text{NO}_3$ ,  $\text{K}_2\text{HPO}_4$ , and  $\text{KH}_2\text{PO}_4$  to a final concentration of 0.2 g/l of each compound. The flasks were incubated at room temperature on a rotary shaker. After 0, 3, 6, 9, 16, 26, and 40 days, a 3-ml sample was removed, centrifuged, and filtered through a 0.2 micron syringe filter. The samples were analyzed for the test compounds by gas chromatography equipped with a flame ionization detector. Peak areas were converted to concentration using a calibration curve for each compound.

Results of Experiment 1. The bacterial populations of oil sands tailings was shown to have the metabolic capability of degrading carboxylated cycloalkanes as shown in the following table of results.

| Day | Percent Remaining |     |     |     |      |     |       |     |
|-----|-------------------|-----|-----|-----|------|-----|-------|-----|
|     | CCP               |     | CCH |     | MCCH |     | 2MCCH |     |
|     | NP-               | NP+ | NP- | NP+ | NP-  | NP+ | NP-   | NP+ |
| 0   | 100               | 42  | 100 | 68  | 100  | 100 | 100   | 100 |
| 6   | 100               | 5   | 100 | 12  | 100  | 100 | 100   | 100 |
| 10  | 100               | 0   | 100 | 1   | 100  | 100 | 100   | 100 |
| 16  | 100               | 0   | 100 | 0   | 100  | 100 | 100   | 100 |
| 26  | 100               | 0   | 100 | 0   | 100  | 100 | 100   | 49  |
| 40  | 100               | 0   | 100 | 0   | 100  | 100 | 100   | 0   |

Using tailings pond water as a growth medium, degradation of CCP, CCH, and 2MCCH was achieved only if nutrients were added to the medium. CCP and CCH were degraded rapidly, within one week, while methylated carboxylic acids were more resistant to biodegradation. 2MCCH was degraded within 40 days, but no degradation was observed for 1MCCH.

Experiment No. 2. Triplicate tailings pond microcosms were created using 200 ml of the tailings sample (as inoculum and medium) in 500-ml Erlenmeyer flasks closed with cotton stoppers. A filter-sterilized solution of CCP and 1MCCH was added to each microcosm for a final concentration of 1000 mg/l. Sterile controls were autoclaved and also spiked with the test compounds. Microcosms were incubated at room temperature on a rotary shaker. After 1, 2, 3, 4, 6, and 9 weeks, samples were removed and analyzed for CCP and 1MCCH by GC.

Results of Experiment No. 2. Biodegradation of CCP was complete within the first week. No biodegradation of 1MCCH was evident after six weeks. At the six-week period, nitrogen and phosphorus was added whereby complete biodegradation of 1MCCH was noted following between the 6 and 9-week sampling. No 1MCCH was measured at 9 weeks.

Neither CCP nor 1MCCH was degraded in the control microcosms.

Experiment No. 3: Tailings pond bacteria were isolated on agar plates and colony types were examined for their ability to utilize carboxylated cycloalkanes as their sole carbon source. Individual colonies were inoculated into a solution of carboxylated cycloalkanes (1000 mg/l) in modified Bushnell and Haas (MGH) minimal salts medium. The ability of the isolate to metabolize the carbon source was monitored by GC analysis. In a second part to this experiment, a carboxylate-degrading mixed bacterial culture was enriched from the tailings pond sample using standard procedures. The mixed culture was maintained on a mixture of CCP, 1MCCH, and 2MCCH (500 mg/l each) in MBH with yeast extract (1000 mg/l) added as a supplemental carbon source.

Results of Experiment No. 3. Of 10 separate colony types isolated from oil sands tailings, one colony type was found to utilize CCP and CCH as its sole carbon source. The isolate was a Gram negative, non-motile, catalase positive, oxidase negative, non-fermenting, aerobic rod, and was identified as an *Acinetobacter* sp. The isolate rapidly degraded CCP and CCH, with complete loss of substrate from the medium within 2 weeks of incubation. However, this isolate was unable to degrade methyl-substituted cyclohexane carboxylic acids. The mixed bacterial culture enriched from the tailings pond sample on a mixture of carboxylated cycloalkanes was found to degrade 1MCCH and 2MCCH, but only when the medium was supplemented with yeast extract. After a 2-week incubation period, the mixed culture had degraded 100% of the 1MCCH and 67% of the 2MCCH.

Experiment No. 4. Radiolabeled hexadecane was spiked into the maltene fraction of pure bitumen. Hexadecane mineralization experiments were performed using 5 ml of oil sands tailings in 60-ml serum vials and inoculated with 10 ul of spiked maltene. One set of vials received nutrient addition as described before. Sterile controls were autoclaved before the addition of the labeled hydrocarbon. Mineralization was determined from triplicate vials after 5, 10, 16, 27, and 40 days using the closed-loop trapping system. Radioactivity was measured using a scintillation cocktail and a Beckman LS8000 scintillation counter.

Results of Experiment No. 4. The results of hexadecane mineralization within oil sands tailings showed that the biodegradation of an n-alkane was nutrient limited. Percent biodegradation reached 50% by day 16 and maintained a plateau through day 40.

Conclusions. This study showed the potential for biodegradation of naphthenic acids by investigating the biodegradation of both carboxylated cycloalkanes and hexadecane. Although natural naphthenic acids present in oil sands tailings have greater structural complexity than the compounds examined in this study, the results show the potential for both for biodegradation of the alkyl side chain and the carboxylated cycloalkane ring components of naphthenic acids. Biodegradation potential was reduced by methyl substitution on the cycloalkane ring, although these compounds could be degraded with the addition of mineral

### 3. Environmental Fate and Pathways

**Id** Naphthenic Acids  
**Date** May 15, 2012

**Reliability** : nutrients.  
(2) valid with restrictions  
The report was a well-documented study that meets basic scientific principles.

**Reference** (18)

## 4.1 ACUTE/PROLONGED TOXICITY TO FISH

**Type** : static  
**Species** : Brachydanio rerio (Fish, fresh water)  
**Exposure period** : 96 hour(s)  
**Unit** :  
**Limit test** :  
**Analytical monitoring** : no  
**Method** :  
**Year** : 1965  
**GLP** : no  
**Test substance** : other TS: Naphthenic Acids

**Method** : Statistical Method:  
 Graphical interpolation for determining the LC50.  
**Result** : 96-hour TLm = 16.3 ppm

The following dose-response data were provided:

| Concentration of Naphthenic acids, ppm | Number Tested | % Dead at 96 hours |
|--|---------------|--------------------|
| 0 (control #1)                         | 10            | 0                  |
| 0 (control #2)                         | 10            | 0                  |
| 7.5                                    | 10            | 0                  |
| 8.7                                    | 10            | 40                 |
| 10                                     | 10            | 20                 |
| 11.5                                   | 10            | 0                  |
| 13.5                                   | 10            | 20                 |
| 15.5                                   | 10            | 30                 |
| 18                                     | 10            | 80                 |
| 21                                     | 10            | 100                |
| 24                                     | 10            | 100                |

**Test condition** : The article reported that pH and dissolved oxygen concentrations were taken during the test, but these data were not reported.  
 : Test containers were 2.5 gallon aquariums, each fitted with an air stone through which compressed air was bubbled to maintain a 5-9 ppm dissolved oxygen concentration in the dilution water. The aquariums were maintained at a temperature of 24 +/- 1 C. Dilution water was synthetic soft water prepared with distilled water and ACS grade chemicals.

The lot of test fish displayed no visible disease. The average size was 3.2 cm total length. Before testing the fish were acclimated to the dilution water for 5 days. During the acclimation period they were fed Daphnia and white worms, but were not fed for 36 hours before or during the testing.

Test concentrations were prepared by direct addition of the test substance to the test chambers followed by mixing. Test concentrations were control, 7.5, 8.7, 10, 11.5, 13.5, 15.5, 18.0, 21.0, and 24.0 ppm naphthenic acids. After the test solutions were prepared, ten fish were placed in each test container. Controls were run in duplicate, while test levels were run singly. Mortality was evaluated at 24, 48, and 96 hours, and the criteria for death was a cessation of

gill movement and failure to respond to mechanical stimulus.

Following the 96 hour test period the TLm (median tolerance limit) was determined from visual observation of the dose-response pattern. Where no exact TLm response resulted, the TLm was interpolated from a plot of the concentration and survival data on semi-log paper.

**Reliability** : (2) valid with restrictions  
The test was conducted under referenced test conditions current for the period in which the study was run. The report provided sufficient details for assessment.

**Reference** (6) (10) (16)

**Type** : static  
**Species** : *Gasterosteus aculeatus* (Fish, estuary, marine)  
**Exposure period** : 96 hour(s)  
**Unit** : mg/l  
**Limit test** :  
**Analytical monitoring** : no  
**Method** :  
**Year** :  
**GLP** : no data  
**Test substance** : other TS: Naphthenic acid mixture (commercially available from Eastman Chemicals)

**Result** : LC50 estimated to be in the range of 5 mg/l.

The following dose response data were reported:

| Concentration (mg/l) | % Survival |
|----------------------|------------|
| 0 (control)          | 100        |
| 2.5                  | 60         |
| 5                    | 10         |
| 10                   | 0          |
| 15                   | 0          |
| 30                   | 0          |

Although an LC50 could have been calculated using contemporary methods, the author elected to estimate its value. The report stated that water chemistry data were collected but no data were reported.

**Test condition** : Summary of Test Conditions

Organism age: juvenile  
 Test Temperature: 20 °C ± 2 °C  
 Photoperiod: 16 h light/8 h dark  
 Light intensity: 10 - 50 micro-einsteins  
 Light quality: wide spectrum fluorescent  
 Test container: 5 gallon aquaria  
 Dilution water: Carquinex Strait  
 Test Volume: 15 liters  
 Animals per container: 10  
 Replicate containers: 2  
 Number of concentrations: 6 (5 concentrations and a control)  
 Food: none  
 Test duration: 96 h  
 Test endpoint: mortality  
 Salinity: 15 parts per thousand  
 Test pH: ambient  
 Test article: Martinez Refinery effluent

(non-toxic)

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

with added naphthenic acids

Test solutions were prepared by creating a 1 percent solution using non-toxic effluent pH adjusted to 12 with sodium hydroxide. The stock solution was mixed overnight prior to use. The stock solution was used to spike non-toxic treated effluent to nominal naphthenic acid concentrations from 2.5 to 30 mg/l.

Test organisms were held at least seven days prior to testing in dilution water. During testing at 24-h intervals, the salinity, temperature, pH, and dissolved oxygen were measured in all control and test tanks. Survival data were taken at 24-h intervals and dead individuals were removed when observed.

**Reliability** : (2) valid with restrictions  
 A statistically-defined LC50 was not calculated. Water chemistry data were not reported.

**Reference** (9) (26) (36)

### Acute Toxicity to Aquatic Vertebrates

#### Category Name: RECLAIMED SUBSTANCES – NAPHTHENIC ACIDS

|  |  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
|--|--|--------------|---------------|---------------------------|------|------------------|--------|-------------------------|-------|--------------------|-----|----------------|-------|--------------------------|-------|---------------|------|----------------------------|-----|
| <b>Category Chemical :</b>   | Naphthenic acids, CAS no. 1338-24-5  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| <b>Test Substance :</b>  | Naphthenic acids, CAS no. 1338-24-5  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| <b>Test Substance Purity/Composition and Other Test Substance Comments :</b> | <p>Specific analyses of the test substance:</p> <table> <tr> <td>Acid number:</td> <td>235 mg KOH/gm</td> </tr> <tr> <td>Unsaponifiabiles (total):</td> <td>4.9%</td> </tr> <tr> <td>Viscosity @40°C:</td> <td>32 cst</td> </tr> <tr> <td>Specific gravity @20°C:</td> <td>0.969</td> </tr> <tr> <td>Color (Garner), GI</td> <td>4.5</td> </tr> <tr> <td>Water content:</td> <td>0.07%</td> </tr> <tr> <td>Phenolic content (acid):</td> <td>0.31%</td> </tr> <tr> <td>Total sulfur:</td> <td>0.34</td> </tr> <tr> <td>CP – Flash point °F (COC):</td> <td>343</td> </tr> </table> | Acid number: | 235 mg KOH/gm | Unsaponifiabiles (total): | 4.9% | Viscosity @40°C: | 32 cst | Specific gravity @20°C: | 0.969 | Color (Garner), GI | 4.5 | Water content: | 0.07% | Phenolic content (acid): | 0.31% | Total sulfur: | 0.34 | CP – Flash point °F (COC): | 343 |
| Acid number:   | 235 mg KOH/gm  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| Unsaponifiabiles (total):  | 4.9%   |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| Viscosity @40°C:   | 32 cst   |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| Specific gravity @20°C:  | 0.969  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| Color (Garner), GI   | 4.5  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| Water content:   | 0.07%  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| Phenolic content (acid):   | 0.31%  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| Total sulfur:  | 0.34   |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| CP – Flash point °F (COC):   | 343  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| <b>Category Chemical Result Type :</b>                                       | Measured   |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| <b>Test Substance Result Type:</b>   | Measured   |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| <b>Method</b>  |  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| <b>Year Study Performed :</b>  | 2010   |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| <b>Method/Guideline Followed:</b>  | OECD 203   |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| <b>Deviations from Method/Guideline :</b>                                    | There was a brief temperature excursion outside the boundaries of 22±1°C.  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
| <b>Species:</b>  | Pimephales promelas (fathead minnow)   |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |
|  |  |              |               |                           |      |                  |        |                         |       |                    |     |                |       |                          |       |               |      |                            |     |

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|   |  |
|---|--|
| <b>GLP:</b>                                 | Yes  |
| <b>Analytical Monitoring :</b>              | Yes  |
| <b>Test Type:</b>                           | Renewal  |
| <b>Test Vessel:</b>                         | 3.8-L glass jars   |
| <b>Water Media Type:</b>                    | Modified well water  |
| <b>Test Concentrations:</b>                 | 0 (control), 1.3, 2.5, 5.0, 10, and 20 mg naphthenic acids/L   |
| <b>Nominal and Measured Concentrations:</b> | Nominal WAF loading rates: 0 (control), 1.3, 2.5, 5.0, 10, and 20 mg naphthenic acids/L<br>Mean measured: 0 (control), 0.90, 2.08, 3.22, 604, 13.8 mg naphthenic acids/L |
| <b>Total Exposure Period:</b>               | 96 hours   |

|                                    |   |                    |
|------------------------------------|---|--------------------|
| <b>Vehicle Used:</b>               | None  |                    |
| <b>Vehicle Name:</b>               |   |                    |
| <b>Vehicle Amount and Units:</b>   |   |                    |
| <b>Alkalinity:</b>                 | 148 mg L  |                    |
| <b>Dissolved Oxygen:</b>           | 7.1 to 9.3 mg/L                                   |                    |
| <b>pH Value:</b>                   | Value or<br>Lower Range : 8.0 Upper Range : 8.4   |                    |
| <b>Test Temperature and Units:</b> | Value or<br>Lower Range :<br>Upper Range :        | 21.6 °C<br>23.6 °C |
| <b>Photo (Light/Dark):</b>         | 16 h light / 8 h dark<br>Light intensity: 523 lux |                    |
| <b>Salinity:</b>                   | Freshwater  |                    |
| <b>TOC:</b>                        |   |                    |
| <b>Water Hardness:</b>             | Value or<br>Lower Range:<br>Upper Range:          | 134 mg/L           |

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

**Method/Guideline**  
**Test Conditions**  
**Remarks:**

Exposure solutions were prepared as water accommodated fractions (WAF). Each WAF was prepared independently based on the selected loading rates used for the test. The WAFs were prepared by adding the appropriate amount of test substance to 4 L of dilution water in clean 5-L glass carboys. Each carboy contained a 2-inch Teflon-coated stir bar and was sealed with a screw cap. The mixtures were stirred for 24 hours at a speed that created a vortex of 30 – 50% of the solution depth. After the stirring period, the solutions were permitted to settle for approximately 1 hour. WAFs were siphoned from the bottom of the mixing vessels, with the first ~100 mL being discarded. This procedure was repeated three times in order to prepare renewal solutions for the 24, 48, and 72-h time points of the test.

The different exposure levels were established in single 3.8-L glass jars holding approximately 2.0 L of solution. At the beginning of the test, fish were impartially added one at a time to each test vessel until each vessel held its complement of 7 fish. During renewal periods, fish were transferred to freshly-prepared exposure solutions. Observations for mortality, moribundity, and sublethal responses were made every 24 hours ( $\pm 1$  hour).

Measurements of the concentrations of dissolved naphthenic acids in the WAFs were made on samples taken at 0 hours (fresh), 24 hours (old), 72 hours (fresh), and 96 hours (old). The method of analysis included aqueous sample extraction by methylene chloride with detection by Fourier transformed Infrared spectroscopy. The minimum quantifiable limit (MQL) for the method was 0.6 mg naphthenic acids/L. Additional characterization of the exposure solutions included analysis by gas chromatography-mass spectroscopy. This method allowed the proportion of dissolved naphthenic acids to be resolved into families of naphthenic acids having similar carbon numbers and ring numbers.

**Limit Test:**

No

### Test Results

#### NOEC/LOEC/NOELR/LOELR

|               | Exposure Duration: | Exposure Units: | Value Description: | Value or Lower Range: | Upper Range: | Units: | Basis for Concentration: |
|---------------|--------------------|-----------------|--------------------|-----------------------|--------------|--------|--------------------------|
| <b>NOEC:</b>  | 96                 | Hours           | =                  | 3.22                  |              | mg/L   | arithmetic mean measured |
| <b>LOEC:</b>  | 96                 | Hours           | =                  | 6.04                  |              | mg/L   | arithmetic mean measured |
| <b>NOELR:</b> | 96                 | Hours           | =                  | 5.0                   |              | mg/L   | Nominal                  |
| <b>LOELR:</b> | 96                 | Hours           | =                  | 10                    |              | mg/L   | Nominal                  |

#### LC/EC/IC/EL/LL Mean Value



## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

| Exposure Duration: | Exposure Units: | Type | %: | Value Description: | Mean Value or Lower Mean Value: | Upper Mean Value: | Units: | Basis for Effect: | Basis for Concentration: |
|--------------------|-----------------|------|----|--------------------|---------------------------------|-------------------|--------|-------------------|--------------------------|
| 24                 | Hours           | LL   | 50 | >                  | 20                              |                   | mg/L   | Mortality         | Nominal                  |
| 48                 | Hours           | LL   | 50 | =                  | 11                              |                   | mg/L   | Mortality         | Nominal                  |
| 72                 | Hours           | LL   | 50 | =                  | 11                              |                   | mg/L   | Mortality         | Nominal                  |
| 96                 | Hours           | LL   | 50 | =                  | 9.0                             |                   | mg/L   | Mortality         | Nominal                  |
| 24                 | Hours           | LC   | 50 | >                  | 13.8                            |                   | mg/L   | Mortality         | arithmetic mean measured |
| 48                 | Hours           | LC   | 50 | =                  | 7.22                            |                   | mg/L   | Mortality         | arithmetic mean measured |
| 72                 | Hours           | LC   | 50 | =                  | 7.22                            |                   | mg/L   | Mortality         | arithmetic mean measured |
| 96                 | Hours           | LC   | 50 | =                  | 5.62                            |                   | mg/L   | Mortality         | arithmetic mean measured |

|                         |   |
|-------------------------|---|
| <b>Results Remarks:</b> | <p>The control and test solutions were clear and colorless with no visible signs of un-dissolved test substance, precipitate, or surface film throughout the study.</p> <p>The LC/LR50 values of the test were based on the percentage of dead fish found in each treatment level. The NOEC/LR values were determined based on scientific judgment of the dose-response pattern.</p> <p>Concentrations of dissolved naphthenic acids in the fresh and old test solutions remained stable over the renewal periods. The measured concentrations in the old solutions were at least 89% of the initial concentrations. Analysis by GC-MS for carbon number and ring distribution indicated 82 – 90% of the dissolved naphthenic acids contained 10 to 16 carbon atoms with a prevalence of one and two ring naphthenic acid isomers.</p> <p>Other than a brief temperature excursion beyond the guideline requirements, this study met the method guideline acceptability criteria.</p> |
|-------------------------|---|

### Reliability/Data Quality

|                                     |                               |
|-------------------------------------|-------------------------------|
| <b>Reliability:</b>                 | 1                             |
| <b>Reliability Remarks:</b>         | Reliable without restrictions |
| <b>Key Study Sponsor Indicator:</b> | Key                           |

### Reference

|                   |  |
|-------------------|--|
| <b>Reference:</b> | Gerke, A. 2010. Acute toxicity of water accommodated fractions of naphthenic acids to the fathead minnow, <i>Pimephales promelas</i> , determined under static-renewal test conditions using a step-down approach. ABC Study no. 64406, Analytical Bio-Chemistry Laboratories, Columbia, Missouri. |
|-------------------|--|

## Acute Toxicity to Aquatic Vertebrates

### Category Name: RECLAIMED SUBSTANCES – Naphthenic Acids

|                            |            |
|----------------------------|------------|
| <b>Category Chemical :</b> | 61790-13-4 |
| <b>Test Substance :</b>    | 61790-13-4 |

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|  |  |
|--|--|
| <b>Test Substance Purity/Composition and Other Test Substance Comments :</b> | Commercial naphthenic acids (sodium salt) was a 50% (w/v) aqueous solution supplied by Pfaltz-Bauer Inc. |
| <b>Category Chemical Result Type :</b>                                       | Estimated by supporting chemical   |
| <b>Test Substance Result Type:</b>   | measured   |
| <b>Method</b>  |  |
| <b>Year Study Performed :</b>  |  |
| <b>Method/Guideline Followed:</b>  |  |
| <b>Deviations from Method/Guideline :</b>                                    |  |
| <b>Species:</b>  |  |
| <b>GLP:</b>  |  |
| <b>Analytical Monitoring :</b>   |  |
| <b>Test Type:</b>  |  |
| <b>Test Vessel:</b>  |  |
| <b>Water Media Type:</b>   |  |
| <b>Test Concentrations:</b>  |  |
| <b>Nominal and Measured Concentrations:</b>                                  |  |
| <b>Total Exposure Period:</b>  |  |

|                                  |   |
|----------------------------------|---|
| <b>Vehicle Used:</b>             |   |
| <b>Vehicle Name:</b>             |   |
| <b>Vehicle Amount and Units:</b> |   |
| <b>Alkalinity:</b>               |   |
| <b>Dissolved Oxygen:</b>         |   |
| <b>pH Value:</b>                 | Value or<br>Lower Range : Upper Range : |

# 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|                                    |  |  |
|------------------------------------|--|--|
| <b>Test Temperature and Units:</b> | Value or<br>Lower Range :<br>Upper Range : |  |
| <b>Photo (Light/Dark):</b>         |  |  |
| <b>Salinity:</b>                   |  |  |
| <b>TOC:</b>                        |  |  |
| <b>Water Hardness:</b>             | Value or<br>Lower Range:<br>Upper Range:   |  |

**Method/Guideline**  
**Test Conditions Remarks:**

**Limit Test:**

### Test Results

#### NOEC/LOEC/NOELR/LOELR

|               | Exposure Duration: | Exposure Units: | Value Description: | Value or Lower Range: | Upper Range: | Units: | Basis for Concentration: |
|---------------|--------------------|-----------------|--------------------|-----------------------|--------------|--------|--------------------------|
| <b>NOEC:</b>  |                    |                 |                    |                       |              |        |                          |
| <b>LOEC:</b>  |                    |                 |                    |                       |              |        |                          |
| <b>NOELR:</b> |                    |                 |                    |                       |              |        |                          |
|               |                    |                 |                    |                       |              |        |                          |

#### LC/EC/IC/EL/LL Mean Value

| Exposure Duration: | Exposure Units: | Type | %: | Value Description: | Mean Value or Lower Mean Value: | Upper Mean Value: | Units: | Basis for Effect: | Basis for Concentration: |
|--------------------|-----------------|------|----|--------------------|---------------------------------|-------------------|--------|-------------------|--------------------------|
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |

**Results Remarks:** Growth and developmental effects in yellow perch (*Perca flavescens*) and Japanese medaka (*Orizias latipes*) embryos exposed to sodium naphthenate solutions were evaluated over a range of naphthenic acids concentrations. For both species, exposure to the treatments began soon after fertilization and continued until the hatching stage was met. Embryos that survived were measured for body length at hatch. Predominant deformities in perch included optic-cephalic irregularities and dorso-lateral curvatures of the spine. For medaka, pericardial edema and tube-heart led to systemic circulatory problems, and optic-cephalic abnormalities were present. Deformity and growth threshold concentrations (defined as the geometric mean of the lowest observed effect concentration (LOEC) and the no observed effect concentration (NOEC)) were calculated for each species. For perch the threshold effect concentration for deformities was 1.67 mg/L. The threshold effect concentration for larval length at hatch was 0.88 mg/L. For medaka, deformity and length threshold concentrations were 1.51 mg/L and 1.44 mg/L, respectively. Concentrations were based on measurements taken using Fourier transform infrared spectroscopy (FTIR).

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|                                     |   |
|-------------------------------------|---|
| <b>Reliability/Data Quality</b>     |   |
| <b>Reliability:</b>                 |   |
| <b>Reliability Remarks:</b>         |   |
| <b>Key Study Sponsor Indicator:</b> |   |
| <b>Reference</b>                    |   |
| <b>Reference:</b>                   | Peters, L.E., M. MacKinnon, T. Fan Meer, M.R. van den Heuvel, and D.G. Dixon. (2007). Effects of oil sands process-affected waters and naphthenic acids on yellow perch ( <i>Perca flavescens</i> ) and Japanese medaka ( <i>Orizias latipes</i> ) embryonic development. Chemosphere 67:2177-2183. |

### Acute Toxicity to Aquatic Vertebrates

|  |   |
|--|---|
| <b>Category Name:</b>  |   |
| <b>Category Chemical :</b>   | 61790-13-4  |
| <b>Test Substance :</b>  | 61790-13-4  |
| <b>Test Substance Purity/Composition and Other Test Substance Comments :</b> | The test substance was a dense, amber-colored mass of naphthenic acids – sodium salts (8-10% sodium) purchased from Acros Organics. |
| <b>Category Chemical Result Type :</b>                                       | measured  |
| <b>Test Substance Result Type:</b>   | measured  |
| <b>Method</b>  |   |
| <b>Year Study Performed :</b>  |   |
| <b>Method/Guideline Followed:</b>  |   |
| <b>Deviations from Method/Guideline :</b>                                    |   |
| <b>Species:</b>  | yellow perch ( <i>Perca flavescens</i> )  |
| <b>GLP:</b>  | no data   |
| <b>Analytical Monitoring :</b>   | no  |
| <b>Test Type:</b>  | semi-static   |
| <b>Test Vessel:</b>  | no data   |
| <b>Water Media Type:</b>   | freshwater  |
| <b>Test Concentrations:</b>  | nominal   |
| <b>Nominal and Measured Concentrations:</b>                                  | 0 (control), 0.9, 1.8, and 3.6 mg/L   |

# 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|                                    |  |      |               |
|------------------------------------|--|------|---------------|
| <b>Total Exposure Period:</b>      | 21 days                                      |      |               |
| <b>Vehicle Used:</b>               |  |      |               |
| <b>Vehicle Name:</b>               |  |      |               |
| <b>Vehicle Amount and Units:</b>   |  |      |               |
| <b>Alkalinity:</b>                 |  |      |               |
| <b>Dissolved Oxygen:</b>           | 8.92   |      |               |
| <b>pH Value:</b>                   | Value or<br>Lower Range : 8.38 Upper Range : |      |               |
| <b>Test Temperature and Units:</b> | Value or<br>Lower Range :                    | 18.4 | Upper Range : |
| <b>Photo (Light/Dark):</b>         | 16/8 hours                                   |      |               |
| <b>Salinity:</b>                   | 0.3 ppt                                      |      |               |
| <b>TOC:</b>                        |  |      |               |
| <b>Water Hardness:</b>             | Value or<br>Lower Range:                     |      | Upper Range:  |

**Method/Guideline**  
**Test Conditions Remarks:**

**Limit Test:** no

## Test Results

### NOEC/LOEC/NOELR/LOELR

|               | Exposure Duration: | Exposure Units: | Value Description: | Value or Lower Range: | Upper Range: | Units: | Basis for Concentration: |
|---------------|--------------------|-----------------|--------------------|-----------------------|--------------|--------|--------------------------|
| <b>NOEC:</b>  |                    |                 |                    |                       |              |        |                          |
| <b>LOEC:</b>  |                    |                 |                    |                       |              |        |                          |
| <b>NOELR:</b> |                    |                 |                    |                       |              |        |                          |
|               |                    |                 |                    |                       |              |        |                          |

### LC/EC/IC/EL/LL Mean Value

## 4. Ecotoxicity

Id Naphthenic Acids  
Date May 15, 2012

| Exposure Duration: | Exposure Units: | Type | %:  | Value Description: | Mean Value or Lower Mean Value: | Upper Mean Value: | Units: | Basis for Effect: | Basis for Concentration: |
|--------------------|-----------------|------|-----|--------------------|---------------------------------|-------------------|--------|-------------------|--------------------------|
| 96                 | hours           | LC   | 100 | =                  | 3.6                             |                   | mg/L   | mortality         | nominal                  |
|                    |                 |      |     |                    |                                 |                   |        |                   |                          |
|                    |                 |      |     |                    |                                 |                   |        |                   |                          |
|                    |                 |      |     |                    |                                 |                   |        |                   |                          |
|                    |                 |      |     |                    |                                 |                   |        |                   |                          |

**Results Remarks:**

Wild young of year yellow perch were captured and held for two days prior to initiating experiments. Groups of perch were exposed for three weeks in a static-renewal designed system to control, 0.9, 1.8, and 3.6 mg/L naphthenic acids. The commercial naphthenic acids-sodium salt (8-10% sodium) was obtained from Acros Organics. Total naphthenic acid concentrations were measured in the exposure solutions by Fourier transform infrared spectroscopy (FTIR).

Following the 3-week exposure, perch were sacrificed by a sharp blow to the head and severing the spinal cord behind the skull. Fork length, body weight, and liver weight were recorded. Condition factor (K) and liver somatic index were calculated for each fish.

Slides of gill and liver tissue were prepared and stained with hematoxylin and eosin. Histopathological alterations were classified into one of five categories, proliferative, degenerative, inflammatory, structural, and cytoplasmic, each representing a general tissue response by an organism to a particular stressor.

Complete fish mortality occurred within 96-hours in the 3.6 mg/L treatment. Consequently gill and liver histopathology comparisons were limited to fish exposed to 0.9 mg/L NAs and control fish.

The predominant sublethal response of fish gills exposed to a commercial naphthenic acids preparation were proliferation of gill epithelial, chloride, and mucous cells. However, no significant changes in liver pathology indices were found.

### Reliability/Data Quality

|                                     |   |
|-------------------------------------|---|
| <b>Reliability:</b>                 | 2 (reliable with restrictions)  |
| <b>Reliability Remarks:</b>         | Test concentrations were not measured and a complete description of the dose-response pattern was not provided. |
| <b>Key Study Sponsor Indicator:</b> | no  |

### Reference

|                   |  |
|-------------------|--|
| <b>Reference:</b> | Nero, V., A. Farwell, L.E.J. Lee, T. Van Meer, M.D. MacKinnon, and D.G. Dixon. (2006). The effects of salinity on naphthenic acid toxicity to yellow perch: gill and liver histopathology. <i>Ecotoxicol Environ Safety</i> 65(2):252-264. |
|-------------------|--|

## 4.2 ACUTE TOXICITY TO AQUATIC INVERTEBRATES

### Acute Toxicity to Aquatic Invertebrates

**Category Name: RECLAIMED SUBSTANCES – NAPHTHENIC ACIDS**

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|  |   |
|--|---|
| <b>Category Chemical :</b>   | Naphthenic acids, CAS no. 1338-24-5   |
| <b>Test Substance :</b>  | Naphthenic acids, CAS no. 1338-24-5   |
| <b>Test Substance Purity/Composition and Other Test Substance Comments :</b> | <p>Specific analyses of the test substance:</p> <p>Acid number: 235 mg KOH/gm<br/>           Unsaponifiables (total): 4.9%<br/>           Viscosity @40°C: 32 cst<br/>           Specific gravity @20°C: 0.969<br/>           Color (Garner), GI: 4.5<br/>           Water content: 0.07%<br/>           Phenolic content (acid): 0.31%<br/>           Total sulfur: 0.34<br/>           CP – Flash point °F (COC): 343</p> |
| <b>Category Chemical Result Type :</b>                                       | Measured  |
| <b>Test Substance Result Type:</b>   | Measured  |
| <b>Method</b>  |   |
| <b>Year Study Performed :</b>  | 2010  |
| <b>Method/Guideline Followed:</b>  | OECD 202  |
| <b>Deviations from Method/Guideline :</b>                                    | None noted  |
| <b>Species:</b>  | Daphnia magna   |
| <b>GLP:</b>  | Yes   |
| <b>Analytical Monitoring :</b>   | Yes   |
| <b>Test Type:</b>  | Renewal   |
| <b>Test Vessel:</b>  | 250-mL glass jars   |
| <b>Water Media Type:</b>   | Aged laboratory well water  |
| <b>Test Concentrations:</b>  | 0 (control), 5.0, 10, 20, 40, and 80 mg naphthenic acids/L (test concentrations expressed as loading rate)  |
| <b>Nominal and Measured Concentrations:</b>                                  | Nominal WAF loading rates: 0 (control), 5.0, 10, 20, 40, and 80 mg naphthenic acids/L<br>Mean measured: <MQL (control), 3.90, 7.68, 17.0, 33.3, and 69.0 mg naphthenic acids/L  |
| <b>Total Exposure Period:</b>  | 48 hours  |

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|                                    |  |          |  |
|------------------------------------|--|----------|--|
| <b>Vehicle Used:</b>               | None   |          |  |
| <b>Vehicle Name:</b>               |  |          |  |
| <b>Vehicle Amount and Units:</b>   |  |          |  |
| <b>Alkalinity:</b>                 | 148 mg/L   |          |  |
| <b>Dissolved Oxygen:</b>           | 6.8 to 8.8 mg/L  |          |  |
| <b>pH Value:</b>                   | Value or<br>Lower Range : 7.5      Upper Range : 8.6       |          |  |
| <b>Test Temperature and Units:</b> | Value or<br>Lower Range :<br>20.6<br>Upper Range :<br>22.0 |          |  |
| <b>Photo (Light/Dark):</b>         | 16 h light / 8 h dark<br>Light intensity: 521 lux          |          |  |
| <b>Salinity:</b>                   | N/A (freshwater)   |          |  |
| <b>TOC:</b>                        |  |          |  |
| <b>Water Hardness:</b>             | Value or<br>Lower Range:<br>Upper Range:                   | 150 mg/L |  |

**Method/Guideline**  
**Test Conditions Remarks:**

Exposure solutions were prepared as water accommodated fractions (WAF). Each WAF was prepared independently based on the selected loading rates used for the test. Each WAF was prepared by adding the appropriate amount of test substance to 4 L of dilution water in a clean 4-L glass carboy. Each carboy contained a 2-inch Teflon-coated stir bar and was sealed with parafilm. The WAF preparations were stirred for 24±1 hours at a speed that created a vortex of 30 – 50% of the solution depth. After the stirring period, the solutions were permitted to settle for approximately 1 hour. The WAF was siphoned from the bottom of the mixing vessel, with the first ~100 mL being discarded. Enough WAF was collected to prepare four replicate test chambers per treatment. This procedure was repeated in order to prepare renewal solutions for the 24-h time point of the test.

Each replicate test vessel contained 200-mL of the WAF or control solution. At the beginning of the test, five neonate daphnids (<24-h old) were added to each test vessel in a random process. At 24-hours into the test, the daphnids were transferred to fresh WAF solutions. Observations for immobile daphnids and sub-lethal responses were made every 24 hours (±1 hour).

Measurements of the concentrations of dissolved naphthenic acids in the WAFs were made on samples taken at 0 hours (fresh), 24 hours (fresh and old), and 48 hours (old). The method of analysis included aqueous sample extraction by methylene chloride with detection by Fourier transformed Infrared spectroscopy. The minimum quantifiable limit (MQL) for the method was 0.6 mg naphthenic acids/L. Additional characterization of the exposure solutions included analysis by gas chromatography-mass spectroscopy. This method allowed the proportion of dissolved naphthenic acids to be resolved into families of naphthenic acids having similar carbon numbers and ring numbers.



## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

| <b>Limit Test:</b>                  |                    | No   |                    |                       |                                 |                   |                          |                   |                          |
|-------------------------------------|--------------------|--|--------------------|-----------------------|---------------------------------|-------------------|--------------------------|-------------------|--------------------------|
| <b>Test Results</b>                 |                    |  |                    |                       |                                 |                   |                          |                   |                          |
| <b>NOEC/LOEC/NOELR/LOELR</b>        |                    |  |                    |                       |                                 |                   |                          |                   |                          |
|                                     | Exposure Duration: | Exposure Units:  | Value Description: | Value or Lower Range: | Upper Range:                    | Units:            | Basis for Concentration: |                   |                          |
| <b>NOEC:</b>                        | 48                 | Hour   | =                  | 7.68                  |                                 | mg/L              | arithmetic mean measured |                   |                          |
| <b>LOEC:</b>                        | 48                 | Hour   | =                  | 17.0                  |                                 | mg/L              | arithmetic mean measured |                   |                          |
| <b>NOELR:</b>                       | 48                 | Hour   | =                  | 10                    |                                 | mg/L              | nominal                  |                   |                          |
| <b>LOELR:</b>                       | 48                 | Hour   | =                  | 20                    |                                 | mg/L              | nominal                  |                   |                          |
| <b>LC/EC/IC/EL/LL Mean Value</b>    |                    |  |                    |                       |                                 |                   |                          |                   |                          |
| Exposure Duration:                  | Exposure Units:    | Type   | %:                 | Value Description:    | Mean Value or Lower Mean Value: | Upper Mean Value: | Units:                   | Basis for Effect: | Basis for Concentration: |
| 24                                  | Hours              | EC   | 50                 | =                     | 23.8                            |                   | mg/L                     | immobile          | arithmetic mean measured |
| 48                                  | Hours              | EC   | 50                 | =                     | 20.0                            |                   | mg/L                     | Immoble           | arithmetic mean measured |
| 24                                  | Hours              | EL   | 50                 | =                     | 28.3                            |                   | mg/L                     | Immoble           | nominal                  |
| 48                                  | Hours              | EL   | 50                 | =                     | 24.0                            |                   | mg/L                     | immobile          | nominal                  |
|                                     |                    |  |                    |                       |                                 |                   |                          |                   |                          |
| <b>Results Remarks:</b>             |                    | <p>The control and test solutions were clear and colorless with no visible signs of un-dissolved test substance, precipitate, or surface film throughout the study.</p> <p>The endpoints of the test were based on the percentage of immobile daphnids found in each treatment level. The observation of "floating daphnids" was observed at 24 and 48 hours in the solutions prepared at the 20 mg/L loading rate. This effect was considered a sublethal effect by the testing laboratory and was used to define the NOEC(LR)/LOEC(LR), but was not included in the calculation of the study endpoints.</p> <p>Concentrations of dissolved naphthenic acids in the fresh and old test solutions remained stable over the renewal period. The measured concentrations in the old solutions were at least 87% of the initial concentrations. Analysis by GC-MS for carbon number and ring distribution indicated 85 – 91% of the dissolved naphthenic acids contained 10 to 16 carbon atoms with a prevalence of one and two ring naphthenic acid isomers.</p> <p>This study met all guideline requirements of acceptability criteria.</p> |                    |                       |                                 |                   |                          |                   |                          |
| <b>Reliability/Data Quality</b>     |                    |  |                    |                       |                                 |                   |                          |                   |                          |
| <b>Reliability:</b>                 |                    | 1  |                    |                       |                                 |                   |                          |                   |                          |
| <b>Reliability Remarks:</b>         |                    | Reliable without restriction   |                    |                       |                                 |                   |                          |                   |                          |
| <b>Key Study Sponsor Indicator:</b> |                    | Key  |                    |                       |                                 |                   |                          |                   |                          |
| <b>Reference</b>                    |                    |  |                    |                       |                                 |                   |                          |                   |                          |
| <b>Reference:</b>                   |                    | Rebstock, M. 2010. Acute toxicity of water accommodated fractions of   |                    |                       |                                 |                   |                          |                   |                          |

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|  |  |
|--|--|
|  | naphthenic acids to the water flea, <i>Daphnia magna</i> , determined under static-renewal conditions. ABC study no. 64404, Analytical Bio-Chemistry Laboratories, Columbia, Missouri. |
|--|--|

### Acute Toxicity to Aquatic Invertebrates

|  |                                  |                      |  |                      |  |  |  |
|--|----------------------------------|----------------------|--|----------------------|--|--|--|
| <b>Category Name:</b> RECLAIMED SUBSTANCES: Naphthenic acids   |                                  |                      |  |                      |  |  |  |
| <b>Category Chemical :</b>   | 1338-24-5                        |                      |  |                      |  |  |  |
| <b>Test Substance :</b>  | 1338-24-5                        |                      |  |                      |  |  |  |
| <b>Test Substance Purity/Composition and Other Test Substance Comments :</b>   | calcium naphthenate              |                      |  |                      |  |  |  |
| <b>Category Chemical Result Type :</b>   | estimated by supporting chemical |                      |  |                      |  |  |  |
| <b>Test Substance Result Type:</b>   | Measured                         |                      |  |                      |  |  |  |
| <b>Method</b>  |                                  |                      |  |                      |  |  |  |
| <b>Year Study Performed :</b>  |                                  |                      |  |                      |  |  |  |
| <b>Method/Guideline Followed:</b>  |                                  |                      |  |                      |  |  |  |
| <b>Deviations from Method/Guideline :</b>  |                                  |                      |  |                      |  |  |  |
| <b>Species:</b>  | Nitocra spinipes                 |                      |  |                      |  |  |  |
| <b>GLP:</b>  | no data                          |                      |  |                      |  |  |  |
| <b>Analytical Monitoring :</b>   |                                  |                      |  |                      |  |  |  |
| <b>Test Type:</b>  |                                  |                      |  |                      |  |  |  |
| <b>Test Vessel:</b>  |                                  |                      |  |                      |  |  |  |
| <b>Water Media Type:</b>   | brackish water                   |                      |  |                      |  |  |  |
| <b>Test Concentrations:</b>  |                                  |                      |  |                      |  |  |  |
| <b>Nominal and Measured Concentrations:</b>  |                                  |                      |  |                      |  |  |  |
| <b>Total Exposure Period:</b>  | 96 hours                         |                      |  |                      |  |  |  |
| <table border="1" style="width: 100%; background-color: #fff9c4;"> <tr> <td style="width: 30%;"><b>Vehicle Used:</b></td> <td></td> </tr> <tr> <td><b>Vehicle Name:</b></td> <td></td> </tr> <tr> <td></td> <td></td> </tr> </table> |                                  | <b>Vehicle Used:</b> |  | <b>Vehicle Name:</b> |  |  |  |
| <b>Vehicle Used:</b>   |                                  |                      |  |                      |  |  |  |
| <b>Vehicle Name:</b>   |                                  |                      |  |                      |  |  |  |
|  |                                  |                      |  |                      |  |  |  |

## 4. Ecotoxicity

Id Naphthenic Acids  
Date May 15, 2012

|                                    |  |
|------------------------------------|--|
| <b>Vehicle Amount and Units:</b>   |  |
| <b>Alkalinity:</b>                 |  |
| <b>Dissolved Oxygen:</b>           |  |
| <b>pH Value:</b>                   | Value or<br>Lower Range : Upper Range :  |
| <b>Test Temperature and Units:</b> | Value or<br>Lower Range<br>Upper Range : |
| <b>Photo (Light/Dark):</b>         |  |
| <b>Salinity:</b>                   | 7 parts per thousand                     |
| <b>TOC:</b>                        |  |
| <b>Water Hardness:</b>             | Value or<br>Lower Range:<br>Upper Range: |

**Method/Guideline**  
**Test Conditions Remarks:** Details on testing procedures have been published by Linden, E., B.E. Bengtsson, O. Svanberg, and G. Sundstrom. 1983. The acute toxicity of 78 chemicals and pesticide formulations against two brackish water organisms, the beak (*Alburnus alburnus*) and the harpacticoid copepod (*Nitroca spinipes*). Chemosphere 8:843-851.

**Limit Test:** No

### Test Results

#### NOEC/LOEC/NOELR/LOELR

|               | Exposure Duration: | Exposure Units: | Value Description: | Value or Lower Range: | Upper Range: | Units: | Basis for Concentration: |
|---------------|--------------------|-----------------|--------------------|-----------------------|--------------|--------|--------------------------|
| <b>NOEC:</b>  |                    |                 |                    |                       |              |        |                          |
| <b>LOEC:</b>  |                    |                 |                    |                       |              |        |                          |
| <b>NOELR:</b> |                    |                 |                    |                       |              |        |                          |
| <b>LOELR:</b> |                    |                 |                    |                       |              |        |                          |

#### LC/EC/IC/EL/LL Mean Value

| Exposure Duration: | Exposure Units: | Type | %: | Value Description: | Mean Value or Lower Mean Value: | Upper Mean Value: | Units: | Basis for Effect: | Basis for Concentration: |
|--------------------|-----------------|------|----|--------------------|---------------------------------|-------------------|--------|-------------------|--------------------------|
| 96                 | hours           | LC   | 50 | =                  | 4.8                             |                   | mg/L   | mortality         | Nominal                  |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |

**Results Remarks:** This data endpoint value was reported in the ECHA registration dossier for naphthenic acids, CAS 1338-24-5. The ECHA dossier concludes that the LC50 for the naphthenate ion of the calcium salt should be valid for naphthenic acid since the naphthenate ion is the ionized form of the acid.

## 4. Ecotoxicity

Id Naphthenic Acids  
Date May 15, 2012

|                                     |   |
|-------------------------------------|---|
| <b>Reliability/Data Quality</b>     |   |
| <b>Reliability:</b>                 | 2 (reliable with restrictions)  |
| <b>Reliability Remarks:</b>         | The endpoint was determined for a supporting substance (structural analog or surrogate of the test substance).  |
| <b>Key Study Sponsor Indicator:</b> | no  |
| <b>Reference</b>                    |   |
| <b>Reference:</b>                   | Linden, E., B.E. Bengtsson, O. Svanberg, and G. Sundstrom. 1983. The acute toxicity of 78 chemicals and pesticide formulations against two brackish water organisms, the beak ( <i>Alburnus alburnus</i> ) and the harpacticoid copepod ( <i>Nitroca spinipes</i> ). Chemosphere 8:843-851. |

### 4.3 TOXICITY TO AQUATIC PLANTS E.G. ALGAE

| <b>Acute Toxicity to Aquatic Plants</b>                                      |  |
|--|--|
| <b>Category Name</b>   | <b>RECLAIMED SUBSTANCES – NAPHTHENIC ACIDS</b>   |
| <b>Category Chemical :</b>   | Naphthenic acids, CAS no. 1338-24-5  |
| <b>Test Substance :</b>  | Naphthenic acids, CAS no. 1338-24-5  |
| <b>Test Substance Purity/Composition and Other Test Substance Comments :</b> | Specific analyses of the test substance:<br><br>Acid number: 235 mg KOH/gm<br>Unsaponifiables (total): 4.9%<br>Viscosity @40°C: 32 cst<br>Specific gravity @20°C: 0.969<br>Color (Garner), GI: 4.5<br>Water content: 0.07%<br>Phenolic content (acid): 0.31%<br>Total sulfur: 0.34<br>CP – Flash point °F (COC): 343 |
| <b>Category Chemical Result Type :</b>                                       | Measured   |
| <b>Test Substance Result Type:</b>   | Measured   |
| <b>Method</b>  |  |
| <b>Year Study Performed :</b>  | 2010   |
| <b>Method/Guideline Followed:</b>  | OECD 201 and OPPTS 850.5400  |
| <b>Deviations from Method/Guideline :</b>                                    | None noted   |
| <b>Species:</b>  | Pseudokirchneriella subcapitata  |
| <b>GLP:</b>  | Yes  |

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|   |  |
|---|--|
| <b>Analytical Monitoring :</b>              | Yes  |
| <b>Test Type:</b>                           | Static   |
| <b>Test Vessel:</b>                         | 250-mL Erlenmeyer flasks   |
| <b>Water Media Type:</b>                    | Algal nutrient medium prepared to ASTM E1217-97a recipe  |
| <b>Test Concentrations:</b>                 | 0 (control), 2.5, 5.0, 10, 20, and 80 mg naphthenic acids/L  |
| <b>Nominal and Measured Concentrations:</b> | Nominal WAF loading rates: 0 (control), 2.5, 5.0, 10, 20, and 80 mg naphthenic acids/L<br>72-h Mean measured: <MQL (control), 1.69, 3.48, 7.38, 15.0, 28.9, and 44.9 mg naphthenic acids/L<br>96-h Mean measured: <MQL (control), 1.64, 3.51, 7.41, 14.8, 28.4, and 44.8 mg naphthenic acids/L |
| <b>Total Exposure Period:</b>               | 96 hours   |

|                                    |  |                    |
|------------------------------------|--|--------------------|
| <b>Vehicle Used:</b>               | None   |                    |
| <b>Vehicle Name:</b>               |  |                    |
| <b>Vehicle Amount and Units:</b>   |  |                    |
| <b>Alkalinity:</b>                 |  |                    |
| <b>Dissolved Oxygen:</b>           |  |                    |
| <b>pH Value:</b>                   | Value or<br>Lower Range : 6.8 Upper Range : 8.9    |                    |
| <b>Test Temperature and Units:</b> | Value or<br>Lower Range :<br>Upper Range :         | 23.2 °C<br>24.2 °C |
| <b>Photo (Light/Dark):</b>         | Continuous lighting<br>Intensity: 4357 to 4527 lux |                    |
| <b>Salinity:</b>                   |  |                    |
| <b>TOC:</b>                        |  |                    |
| <b>Water Hardness:</b>             | Value or<br>Lower Range:<br>Upper Range:           |                    |

|  |  |
|--|--|
| <b>Method/Guideline Test Conditions Remarks:</b> | Exposure solutions were prepared as water accommodated fractions (WAF). Each WAF was prepared independently based on the selected loading rates used for the test. Each WAF was prepared by adding the appropriate amount of test substance to 4 L of nutrient medium in a clean, autoclaved 4-L glass carboy. Each carboy contained a 2-inch Teflon-coated stir bar and was sealed with a screw cap. The WAF preparations were stirred for 24±1 hours at a speed that created a vortex of 30 – 50% of the solution depth. After the stirring period, the solutions were permitted to settle for approximately 1 hour. The WAF was siphoned from the bottom of the mixing vessel, with the first ~100 mL being discarded. Enough WAF was created to prepare 7 replicate test vessels per treatment. Replicates designated A, B, C, D, E, |
|--|--|

## 4. Ecotoxicity

Id Naphthenic Acids  
Date May 15, 2012

and F were 250-mL Erlenmeyer flasks filled with 100 mL of WAF or control solution. Replicate G was a 1-L Erlenmeyer flask containing 600 mL of WAF or control solution. This replicate was placed beside the other replicates during the test, but served only to provide sufficient volume of solutions for analytical measurements at 72 hours. At 96 hours, replicates D, E, and F were pooled to provide the volume needed for analytical measurements at the end of the test.

At the beginning of the test, replicates A-F were inoculated with 1.0 mL of algal concentrate containing approximately  $1.0 \times 10^6$  cells/mL. This provided approximately  $1.0 \times 10^4$  cells/mL at initiation. Replicate G also received an aliquot of the algal concentrate to achieve an initial cell density of  $1.0 \times 10^4$  cells/mL. Flasks were placed on an orbital shaker table (100 rpm) in a temperature controlled environmental chamber ( $24 \pm 2^\circ\text{C}$ ) under continuous cool-white fluorescent lighting. Positions were established by random assignment, and were re-randomized on a daily basis throughout the 4-day test.

At 24, 48, 72, and 96 hours, cell density was measured in each treatment group by direct microscopic counting using a hemacytometer. For the control group, samples from replicates A – F were counted. For all naphthenic acid WAF treatments, samples from replicates A – C were counted. Temperature and pH were measured in all parent solutions prior to distribution of the solutions to the test flasks. At 72 hours, temperature and pH were measured in all replicate G vessels. At 96 hours, temperature and pH were measured in all replicate A vessels.

Measurements of the concentrations of dissolved naphthenic acids in the WAFs were made on samples taken at 0, 72, and 96 hours. The method of analysis included aqueous sample extraction by methylene chloride with detection by Fourier transformed Infrared spectroscopy. The minimum quantifiable limit (MQL) for the method was 0.6 mg naphthenic acids/L. Additional characterization of the exposure solutions included analysis by gas chromatography-mass spectroscopy. This method allowed the proportion of dissolved naphthenic acids to be resolved into families of naphthenic acids having similar carbon numbers and ring numbers.

**Limit Test:**

No

### Test Results

#### NOEC/LOEC/NOELR/LOELR

|               | Exposure Duration: | Exposure Units: | Value Description: | Value or Lower Range: | Upper Range: | Units: | Basis for Concentration: |
|---------------|--------------------|-----------------|--------------------|-----------------------|--------------|--------|--------------------------|
| <b>NOELR:</b> | 72                 | Hours           | =                  | 10                    |              | mg/L   | nominal                  |
| <b>LOELR</b>  | 72                 | Hours           | =                  | 20                    |              | mg/L   | nominal                  |
| <b>NOEC</b>   | 72                 | Hours           | =                  | 7.38                  |              | mg/L   | arithmetic mean measured |
| <b>LOEC</b>   | 72                 | Hours           | =                  | 15.0                  |              | mg/L   | arithmetic mean measured |
| <b>NOELR</b>  | 96                 | Hours           | =                  | 10                    |              | mg/L   | nominal                  |
| <b>LOELR:</b> | 96                 | Hours           | =                  | 20                    |              | mg/L   | nominal                  |
| <b>NOEC:</b>  | 96                 | Hours           | =                  | 7.41                  |              | mg/L   | arithmetic mean measured |
| <b>LOEC:</b>  | 96                 | Hours           | =                  | 14.8                  |              | mg/L   | arithmetic mean measured |

#### LC/EC/IC/EL/LL Mean Value

## 4. Ecotoxicity

Id Naphthenic Acids  
Date May 15, 2012

| Exposure Duration: | Exposure Units: | Type | %: | Value Description: | Mean Value or Lower Mean Value: | Upper Mean Value: | Units: | Basis for Effect: | Basis for Concentration: |
|--------------------|-----------------|------|----|--------------------|---------------------------------|-------------------|--------|-------------------|--------------------------|
| 72                 | Hours           | EL   | 50 | =                  | 41.3                            |                   | mg/L   | Growth Rate       | nominal                  |
| 72                 | Hours           | EL   | 50 | =                  | 23.8                            |                   | mg/L   | Cell Yield        | nominal                  |
| 72                 | Hours           | EC   | 50 | =                  | 29.6                            |                   | mg/L   | Growth Rate       | arithmetic mean measured |
| 72                 | Hours           | EC   | 50 | =                  | 17.7                            |                   | mg/L   | Cell Yield        | arithmetic mean measured |
| 96                 | Hours           | EL   | 50 | =                  | 43.3                            |                   | mg/L   | Growth Rate       | nominal                  |
| 96                 | Hours           | EL   | 50 | =                  | 24.8                            |                   | mg/L   | Cell Yield        | nominal                  |
| 96                 | Hours           | EC   | 50 | =                  | 29.9                            |                   | mg/L   | Growth Rate       | arithmetic mean measured |
| 96                 | Hours           | EC   | 50 | =                  | 18.1                            |                   | mg/L   | Cell Yield        | arithmetic mean measured |

**Results Remarks:**

The NOELR/LOELR and NOEC/LOEC at 72 and 96 hours were the same values when based on growth rate or cell yield.

Algal cells appeared normal with no unusual cell shapes, color differences, flocculation, adherence of algae to the test chambers, or aggregations of algal cells.

Concentrations of dissolved naphthenic acids in the test solutions remained stable over the renewal period. The measured concentrations in the solutions at 72 hours were at least 80% of the initial measured concentrations. At 96 hours, the measured concentrations were at least 85% of the initial measured concentrations.

Analysis by GC-MS for carbon number and ring distribution indicated 81 – 94% of the dissolved naphthenic acids contained 10 to 16 carbon atoms with a prevalence of one and two ring naphthenic acid isomers.

### Reliability/Data Quality

**Reliability:** 1

**Reliability Remarks:** Reliable without restrictions

**Key Study Sponsor Indicator:** Key

### Reference

**Reference:** Rebstock, M. 2010. Growth inhibition test of water accommodated fractions of naphthenic acids to the unicellular green alga, *Pseudokirchneriella subcapitata*. ABC Report no. 64405, Analytical Bio-Chemistry Laboratories, Columbia, Missouri.

### Acute Toxicity to Aquatic Plants

**Category Name** RECLAIMED SUBSTANCES: Naphthenic acids

**Category Chemical :** 1338-24-5

**Test Substance :** 1338-24-5

**Test Substance Purity/Composition and Other Test**

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|   |                    |
|---|--------------------|
| <b>Substance Comments :</b>                 |                    |
| <b>Category Chemical Result Type :</b>      | unknown            |
| <b>Test Substance Result Type:</b>          | measured           |
| <b>Method</b>                               |                    |
| <b>Year Study Performed :</b>               | 1966               |
| <b>Method/Guideline Followed:</b>           | unknown            |
|   |                    |
| <b>Deviations from Method/Guideline :</b>   |                    |
| <b>Species:</b>                             | Navicula seminulum |
|   |                    |
| <b>GLP:</b>                                 | no data            |
| <b>Analytical Monitoring :</b>              | no data            |
| <b>Test Type:</b>                           | no data            |
| <b>Test Vessel:</b>                         | no data            |
| <b>Water Media Type:</b>                    | freshwater         |
| <b>Test Concentrations:</b>                 | nominal            |
| <b>Nominal and Measured Concentrations:</b> |                    |
| <b>Total Exposure Period:</b>               | 96 hours           |

|                                    |   |
|------------------------------------|---|
| <b>Vehicle Used:</b>               |   |
| <b>Vehicle Name:</b>               |   |
|                                    |   |
| <b>Vehicle Amount and Units:</b>   |   |
| <b>Alkalinity:</b>                 |   |
| <b>Dissolved Oxygen:</b>           |   |
| <b>pH Value:</b>                   | Value or<br>Lower Range : Upper Range : |
| <b>Test Temperature and Units:</b> | Value or<br>Lower Range :               |



## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|                     |  |
|---------------------|--|
| Upper Range :       |  |
| Photo (Light/Dark): |  |
| Salinity:           |  |
| TOC:                |  |
| Water Hardness:     | Value or<br>Lower Range:<br>Upper Range: |

|                         |  |
|-------------------------|--|
| <b>Method/Guideline</b> |  |
| <b>Test Conditions</b>  |  |
| <b>Remarks:</b>         |  |
| <b>Limit Test:</b>      |  |

### Test Results

#### NOEC/LOEC/NOELR/LOELR

|               | Exposure Duration: | Exposure Units: | Value Description: | Value or Lower Range: | Upper Range: | Units: | Basis for Concentration: |
|---------------|--------------------|-----------------|--------------------|-----------------------|--------------|--------|--------------------------|
| <b>NOEC:</b>  |                    |                 |                    |                       |              |        |                          |
| <b>LOEC:</b>  |                    |                 |                    |                       |              |        |                          |
| <b>NOELR:</b> |                    |                 |                    |                       |              |        |                          |
| <b>LOELR:</b> |                    |                 |                    |                       |              |        |                          |

#### LC/EC/IC/EL/LL Mean Value

| Exposure Duration: | Exposure Units: | Type | %: | Value Description: | Mean Value or Lower Mean Value: | Upper Mean Value: | Units: | Basis for Effect: | Basis for Concentration: |
|--------------------|-----------------|------|----|--------------------|---------------------------------|-------------------|--------|-------------------|--------------------------|
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |
|                    |                 |      |    |                    |                                 |                   |        |                   |                          |

**Results Remarks:**

These data endpoint values were reported in the ECHA registration dossier for naphthenic acids, CAS 1338-24-5. The original source of data could not be obtained but was gathered from the US EPA ECOTOX database (secondary source).

A total of 12 endpoints were reported in the ECOTOX database. All were based on 96-hour tests evaluated on the basis of population growth rate.

The ECHA dossier concludes that the toxicity of naphthenic acids to populations of the freshwater diatom, *Navicula seminulum*, was measured. The 96-hour EC50 for growth ranged from 26.0 to 80.5 mg/L.

### Reliability/Data Quality

**Reliability:** 4 (not assignable)

**Reliability Remarks:** The data was reported in a secondary literature source, and the original report could not be obtained to evaluate the test substance or test methods used.

**Key Study Sponsor Indicator:**

### Reference

|                   |   |
|-------------------|---|
| <b>Reference:</b> | The sensitivity of aquatic life to certain chemicals commonly found in industrial wastes. Final Report No. RG-3965(C2R1), US Public Health Service Grant, Acad. of Nat. Sci., Philadelphia, PA. 89 p. |
|-------------------|---|

**4.4 TOXICITY TO MICROORGANISMS E.G. BACTERIA****4.5.1 CHRONIC TOXICITY TO FISH****4.5.2 CHRONIC TOXICITY TO AQUATIC INVERTEBRATES****4.6.1 TOXICITY TO SEDIMENT DWELLING ORGANISMS****4.6.2 TOXICITY TO TERRESTRIAL PLANTS****4.6.3 TOXICITY TO SOIL DWELLING ORGANISMS****4.6.4 TOX. TO OTHER NON MAMM. TERR. SPECIES****4.7 BIOLOGICAL EFFECTS MONITORING****4.8 BIOTRANSFORMATION AND KINETICS****4.9 ADDITIONAL REMARKS**

**Memo** : Effect of naphthenic acids on survival of bluegill (*Lepomis macrochirus*)

**Remark** : The value was reported in a summarized journal article (Cairns et al., 1965) as originating in Cairns and Scheier (1962).

**Result** : 48-hour TLm = 5.6 mg/l naphthenic acids

**Reliability** : (3) invalid  
The endpoint was cited in the text of a journal article without details of the test.

**Reference** (6) (7)

**Memo** : Effect of naphthenic acids on survival of bluegill (*Lepomis macrochirus*)

**Remark** : Test chambers were 30x60x30 cm all-glass vessels. Dilution water was well water. Testing was performed at a temperature of  $22 \pm 1^\circ\text{C}$  under a 16-h light/8-h dark photoperiod.

The test included five concentrations of the test substance and a dilution water control. Each test level included 20 fish distributed 10 each to two replicate chambers per

treatment.

Dissolved oxygen ranged from 4.3 to 8.1 mg/l, pH ranged from 7.4 to 8.0, and temperature ranged from 22 to 24 °C when measured daily during the test. Specific conductance between the test solutions remained constant at 550 (no units given) when measured at the beginning of the test.

The report stated that serial dilutions of the test product were created for testing, although no details were given as to how the serial dilutions or the original solution was created. The raw data indicated that concentrations were expressed as a percent, while the LC50 and confidence interval was reported as parts per million. There was no explanation how the values for percent were related to parts per million.

Critical details of testing procedures and animal culture were omitted from the report.

**Result Reference**

: 96-hour LC50 = 0.0026 mg/l

(14)

**Memo**

: Effect of naphthenic acids on survival of zebra fish (*Brachydanio rerio*) embryos

**Remark**

: Zebra fish embryos were exposed for 48 hours to a range of naphthenic acids concentrations to determine the TLm (median tolerance limit) for embryo survival. Embryos were collected from a culture unit once they attained Stage 21 as designated by Hisaoka and Battle (1958). Ten embryos were exposed to each test solution and control in petri dishes holding 45 ml of the exposure solutions. Exposure solutions were prepared by diluting a stock solution of naphthenic acids (100 mg naphthenic acids in 50 ml acetone) with water. In addition to a control group, nine concentrations of naphthenic acids were prepared at 2.4, 3.2, 4.2, 6.5, 10, 15.5, 24, 32, and 42 ppm naphthenic acids. Mortality was assessed at 24 and 48 hours of exposure. The embryo was considered dead if it had an opaque appearance.

A TLm of 3.5 ppm was obtained by plotting the survival versus concentration on semilog paper and interpolating the 50% survival concentration. The following dose response was given:

| Test Concentration, ppm | Percent Dead |
|-------------------------|--------------|
| 0 (control)             | 0            |
| 2.4                     | 0            |
| 3.2                     | 40           |
| 4.2                     | 70           |
| 6.5                     | 100          |
| 10                      | 100          |
| 15.5                    | 100          |
| 24                      | 100          |
| 32                      | 100          |
| 42                      | 100          |

**Reliability**

: (2) valid with restrictions  
Although the test was conducted prior to the time of standardized test methods, the report provided sufficient information on the dose-response pattern for the test substance.

## 4. Ecotoxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

**Reference**

(6) (20)

## 5.0 TOXICOKINETICS, METABOLISM AND DISTRIBUTION

## 5.1.1 ACUTE ORAL TOXICITY

**Type** : LD50  
**Value** : = 5880 mg/kg bw  
**Species** : Rat  
**Strain** : Wistar  
**Sex** : Male  
**Number of animals** : 5  
**Vehicle** : other: None, administered undiluted  
**Doses** : 1, 1.47, 2.15, 3.16, 4.64, 6.81 & 10 g/kg  
**Method** :  
**Year** : 1979  
**GLP** : no data  
**Test substance** : other TS: MRD-79-10 (Raw naphthenic acid derived from kerosene ) [CAS number 1338-24-8]

**Method** : Seven groups of 5 male rats were dosed at 1.0, 1.47, 2.15, 3.16, 4.64, 6.81, and 10 g/kg of body weights. Food and water were freely available except for the 16-20 hours prior to dosing.

The rats were observed 1,2,4, and 6 hours after dosing and once daily for 14 days. Mortality, toxicity and pharmacological effects were recorded. Body weights were recorded pretest and in the survivors at 14 days. At 14 days the survivors were sacrificed. All animals were examined for gross pathology.

**Result** : Deaths occurred at the four highest dose levels: 3.26, 4.64, 6.81, and 10 g/kg bw. 8/10 animals died at the two highest dose levels. Significant predeath toxic signs included tremors, lethargy, ptosis, ataxia, prostration, negative righting reflex, flaccid muscle tone, piloerection, diarrhea, chromodacryorrhea, dyspnea and chromorhinorrhea. Body weight changes were noted in the survivors. Significant necropsy findings in the animals that died during the study included dilated hearts and gastrointestinal irregularities.

**Reliability** : The LD50 was determined to be 5.88 (4.31-8.02) g/kg bw  
 (2) valid with restrictions  
 Appears to be comparable to a guideline study with adequate experimental details provided; although the investigators used male rats only, there is sufficient experimental detail to make a conclusion on the study's validity, and the results can be used to assess the potential acute toxicity of naphthenic acid.

**Reference**

(12)

**Type** : LD50  
**Value** :  
**Species** : Rat  
**Strain** : other: No information  
**Sex** : no data  
**Number of animals** :  
**Vehicle** : other: None - administered undiluted  
**Doses** :  
**Method** :

## 5. Toxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

**Year** : 1955  
**GLP** : no data  
**Test substance** :  
**Method** : "The LD50 ..was determined in rats by use of screening test procedures similar to those of Smyth and Carpenter." (Smyth, H.F., and C.P. Carpenter. 1944. Place of the range finding test in the industrial toxicology laboratory. J. Indust. Hyg. & Tox. 26: 269.

**Result** : Number of animals: "Sufficient animals ...so the the LD50 dose could be computed by either the Weil or the Litchfield and Wilcoxon method"  
: Death appears to result from gastrointestinal disturbances, with the mortality peak occurring on the third to fourth day after administration. The animals exhibited anorexia, inanition, diarrhea, and asthenia.  
The LD50s were determined to be 3.0 g/kg bw (fraction from crude kerosene acids) and 5.2 g/kg bw (fraction from mixed crude oils)

**Test substance** : No CAS number identified  
1) 7-93% Naphthenic acid fraction from crude kerosene acids  
2) 65-69% Naphthenic acid fraction from mixed crude oils

**Reliability** : (2) valid with restrictions  
Although not a guideline or GLP study, and some of the experimental details are not available, the study does appear to be well-conducted, and cites that the investigators followed published methodologies for conducting a statistically valid LD50. The data are supportive of other acute toxicity studies reported by Exxon and Pennisi.

**Reference** (28)

**Type** : LD50  
**Value** : = 3550 mg/kg bw  
**Species** : Mouse  
**Strain** : other: White - no other information  
**Sex** : Male  
**Number of animals** :  
**Vehicle** :  
**Doses** :  
**Method** :  
**Year** : 1977  
**GLP** : No  
**Test substance** : other TS: Naphthenic acid - no further information [Associated with CAS number 1338-24-5 in Toxline search]

**Result** : Oral administration resulted in 1) CNS depression without analgesia and no loss of corneal reflex, 2) corneal eye opacity, 3) dryness of mouth, 4) convulsions, 5) diarrhea, and 6) death due to respiratory arrest.

**Reliability** : (4) not assignable  
This information is taken from a published, meeting abstract. The level of experimental details provided is not sufficient to verify the conclusions.

**Reference** (27)

**Type** : other: Acute oral toxicity study (Not LD50)  
**Value** :  
**Species** : Rat  
**Strain** : Wistar  
**Sex** : male/female

## 5. Toxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

|                          |   |  |
|--------------------------|---|--|
| <b>Number of animals</b> | : | 10   |
| <b>Vehicle</b>           | : | Water  |
| <b>Doses</b>             | : |  |
| <b>Method</b>            | : |  |
| <b>Year</b>              | : | 2002   |
| <b>GLP</b>               | : | no data  |
| <b>Test substance</b>    | : |  |
| <b>Method</b>            | : | <p>Female rats were given a single oral dose of naphthenic acids at 3, 30 or 300 mg/kg bw, while male rats received 300 mg/kg. Control animals were given tap water. All animals were monitored continuously for 12 hr after dosing, and thereafter daily. Changes in body weight, food and water consumption and behavioral or clinical signs were recorded. Following euthanization the liver, kidney, spleen, heart, lung and ovaries were removed, weighed and fixed for microscopic examination.</p> <p>Statistical analysis was performed by using a one-way ANOVA to compare means of female dose and control groups with respect to consumption, body weights, and organ weights. A pair wise multiple comparison test was then used in cases where statistical significance was reached. For the male dose and control groups, a Student's t-test was used to compare group means. Probability values of <math>p &lt; 0.05</math> was considered statistically significant.</p> |
| <b>Result</b>            | : | <p>The following effects were seen in the high dose groups:</p> <p>Decreased food consumption immediately following dosing.</p> <p>Lethargy and mild ataxia (2/10 females, 3/10 males)</p> <p>Statistically significant increase relative organ weights: ovaries, spleen in females- testes, heart in males</p> <p>7/10 females and 6/10 males exhibiting eosinophilic pericholangitis</p> <p>6/10 males and 2/10 females with brain hemorrhage.</p> <p>The following effects were seen in the mid dose group:<br/>7/10 females and 4/10 males with heart lesions.</p>   |
| <b>Test substance</b>    | : | <p>Naphthenic acid in aqueous solutions (analyzed by mass spectrometry) containing 55,080, 5508 or 550.0 mg/l naphthenic acids - derived from athabasca sands sands tailings. [Associated with CAS number 1338-24-5 in Toxline search]</p>   |
| <b>Reliability</b>       | : | <p>(2) valid with restrictions</p> <p>The study is not an acute toxicity study as defined by OECD SIDS/HPV, however it appears to be well conducted and provides additional information regarding potential acute, non-lethal effects of naphthenic acids following oral exposure.</p>   |
| <b>Reference</b>         | : | (30)   |

### 5.1.2 ACUTE INHALATION TOXICITY

### 5.1.3 ACUTE DERMAL TOXICITY

|              |   |                             |
|--------------|---|-----------------------------|
| <b>Type</b>  | : | other: LD50 with irritation |
| <b>Value</b> | : | > 31600 mg/kg bw            |

## 5. Toxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

**Species** : Rabbit  
**Strain** : New Zealand white  
**Sex** : male/female  
**Number of animals** : 2  
**Vehicle** : other: None - administered undiluted  
**Doses** : 3.16 mg/kg  
**Method** :  
**Year** : 1979  
**GLP** : no data  
**Test substance** : other TS: MRD-79-10 (Raw naphthenic acid derived from kerosene ) [CAS number 1338-24-8]

**Method** : 3.16 g/kg naphthenic acid was applied dermally to the clipped abraded abdomens of each animal. The area was covered with gauze and secured by a thick plastic binder, which was removed after 24 hours, and the skin washed with water or corn oil.  
According to experimental protocol, no deaths occurred at the initial level, no addition animals were dosed. If one animal died, the experiment was to be repeated using 3 more groups of animals dosed at varying levels.  
Following the skin wash, animals were observed for mortality and toxic effects at 2 hr and 4 hr, and once daily thereafter. Body weights were recorded pretest and at termination. Dermal irritation was recorded at 24 hr, 3, 7, 10 and 14 days.

The rats were observed 1,2,4, and 6 hours after dosing and once daily for 14 days. Mortality, toxicity and pharmacological effects were recorded. Body weights were recorded pretest and in the survivors at 14 days. At 14 days the survivors were sacrificed. All animals were examined for gross pathology.

**Result** : No deaths occurred at the 3.16 mg/kg dose level. Most of the animals (3/4) appeared normal during the first 2 to 4 hours of dosing, after which symptoms of toxicity were observed. 3 out of 4 animals (1 male, 2 female) showed signs of toxicity until day 12 or 13. During the first 5 days, all animals displayed one or more of the following symptoms: lethargy, diarrhea, ptosis, adipsia, anorexia, and few feces.

The LD50 was determined to be greater than 3.16 g/kg bw  
Redness and irritation scores were recorded at 24 hr, 3, 7, 10 and 14 days post-washing.

4 Hour occluded sites (DOT, OECD methods)

Mean values (24, 48 & 72 hours) for erythema and edema at the intact sites were 1.69 and 1.3 respectively.

The initial response of the skin to the test material was slight, with little difference in response between intact or abraded sites.

The material was judged to be moderately to severely irritating to the occluded skin.

Actual scores were:

### Erythema/Eschar Scores

| Animal |   | 1 day | 3 day | 7 day | 10 day | 14 day |
|--------|---|-------|-------|-------|--------|--------|
| 1M     | 2 | 2     | 4     | 4     | 1      |        |
| 2M     | 1 | 2     | 4     | 4     | 1      |        |
| 3F     | 2 | 4     | 4     | 4     | 0      |        |
| 4F     | 2 | 3     | 4     | 4     | 0      |        |



Note: All animals showed signs of scar formation after 14 days.

| Edema  |   | 1 day | 3 day | 7 day | 10 day | 14 day |
|--------|---|-------|-------|-------|--------|--------|
| Animal |   |       |       |       |        |        |
| Number |   |       |       |       |        |        |
| 1M     | 3 | 2     | 2     | 2     | 1      |        |
| 2M     | 2 | 3     | 2     | 2     | 0      |        |
| 3F     | 3 | 3     | 2     | 2     | 0      |        |
| 4F     | 3 | 3     | 2     | 2     | 0      |        |

**Reliability** : (1) valid without restriction  
Although no indication that it is a GLP study, sufficient detail is provided to make a conclusion about its validity.

**Reference** (11)

#### 5.1.4 ACUTE TOXICITY, OTHER ROUTES

#### 5.2.1 SKIN IRRITATION

#### 5.2.2 EYE IRRITATION

**Species** : Rabbit  
**Concentration** : Undiluted  
**Dose** : .1 ml  
**Exposure time** :  
**Comment** :  
**Number of animals** : 3  
**Vehicle** : None  
**Result** : Irritating  
**Classification** :  
**Method** :  
**Year** : 1979  
**GLP** : no data  
**Test substance** : other TS: MRD-79-10 (Raw naphthenic acid derived from kerosene ) [CAS number 1338-24-8]

**Method** : 0.1 ml naphthenic acid was placed into the conjunctival sac of eye of each of the six rabbits. The lids were held together briefly to insure adequate distribution. The untreated eye served as a control.  
The rabbits were observed at 1 and 4 hours, and on days 1, 2, 3, 4, and day 7. If a positive score (any score for iritis or opacity, or a score of 2 or more for redness or chemosis) was noted on day 7, ocular reactions were scored on day 10. Likewise readings on day 14 were given if there was a positive reaction on day 10. Fluorescein was used in examining ocular reactions on day 3 and after. The Draize technique was used as the scoring system.

**Result** : The following is a summary of data taken from the report: One animal had a positive corneal score that was noted on days 1 and 2. One animal had a positive iris score which was noted during hours 1 and 4. All animals exhibited positive conjunctival scores at some point during the first three days of observation. By day 4, no animals showed positive scores.

The material was judged to be an irritant. (According to

## 5. Toxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

**Reliability** : Draize chart, 4 to 6 rabbits with positive scores observed at 24, 48 or 72 hours). In a later Exxon summary report, eye irritation was judged to be moderate (Exxon, 1980).  
: (1) valid without restriction  
Although no indication that it is a GLP study, sufficient detail is provided to make a conclusion about its validity.

**Reference** (13)

### 5.3 SENSITIZATION

### 5.4 REPEATED DOSE TOXICITY

**Type** : Sub-chronic  
**Species** : Rat  
**Sex** : Female  
**Strain** : Wistar  
**Route of admin.** : Gavage  
**Exposure period** : 90 Days  
**Frequency of treatm.** : daily, 5 days/week for 90 days  
**Post exposure period** :  
**Doses** : 0.6, 6 & 60 mg/kg  
**Control group** : yes, concurrent vehicle  
**Method** :  
**Year** : 2002  
**GLP** : no data  
**Test substance** :

**Method** : Female rats were administered naphthenic acid (orally) at doses of 0.6, 6, or 60 mg/kg/day, 5 days per week for 90 days. Control animals were given 7 ml tap water. All animals were monitored daily. Changes in body weight, food and water consumption and behavioral or clinical signs were recorded. Blood samples were collected from the ventral tail vein on day 45 of dosing and analyzed for plasma biochemical and hematological effects. Similarly, blood samples taken via cardiac puncture on day 91 were analyzed. Following euthanization the liver, kidney, spleen, heart, lung and ovaries were removed, weighed and fixed for microscopic examination.

Statistical analysis was performed by using a one-way ANOVA to compare group means for consumption, plasma biochemical/ hematological parameters, and organ weights, while a one-way repeated measure ANOVA was used to compare body weight trends. Probability values of  $p < 0.05$  was considered statistically significant.

**Result** : The following significant effects were seen in the high dose groups:  
Decreased food consumption immediately following dosing.  
Severe, clonic seizures lasting 20 sec (25%) of animals, observed after day 40 - after which all animals, except one that died, resumed normal activity.\*

Lower mean body weight throughout the exposure period.

Increased relative organ weights: liver, kidney and brain

Reduction in plasma cholesterol on days 45 and 91

(41)

## 5. Toxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

and 43%), Increase in amylase activity on day 45 and 91 (33 and 30%)

Less pronounced differences in total protein concentration (increased) and albumin/globulin ratio (decreased)

5/12 rats with increased glycogen storage.

The following effects were seen in the mid-dose group:

Severe, clonic seizures lasting 20 sec (17%) of animals, observed after day 40 - after which all animals except one that died, resumed normal activity.\*

3/12 rats with increased glycogen accumulation

The following effects were seen in the low-dose group:

2/12 rats with increased glycogen accumulation

\*Note: Rats in the low-dose (8%) and control (17%) demonstrated milder episodes, characterized primarily by muscle twitching.

Dose-related changes in liver tissue with respect to glycogen accumulation.

**Test substance** : Naphthenic acid in aqueous solutions (analyzed by mass spectrometry) containing 8549, 845.9 or 84.50 mg/l naphthenic acids derived from Athabasca sands tailings. [Associated with CAS number 1338-24-5 in Toxline search]

**Reliability** : (2) valid with restrictions  
The study is not a typical subchronic toxicity study as defined by OECD SIDS/HPV, i.e., the study was conducted with female rats only and examined a limited number of organs. However, it is well-conducted and provides limited information regarding potential subchronic effects of naphthenic acids following oral exposure.

**Reference** (30)

**Type** : Sub-chronic  
**Species** : Rat  
**Sex** : Male  
**Strain** : Wistar  
**Route of admin.** : Gavage  
**Exposure period** : 30 days  
**Frequency of treatm.** : Daily  
**Post exposure period** :  
**Doses** : 1000 mg/kg bw (no information on number of animals per dose)  
**Control group** : no data specified  
**Method** :  
**Year** : 1977  
**GLP** : No  
**Test substance** : other TS: Naphthenic acid - no further information [Associated with CAS number 1338-24-5 in Toxline search]

**Method** : Male rats were given daily oral doses of 1000 mg/kg naphthenic acids. No other experimental details provided in abstract.

**Result** : The following statements appeared in the abstract:

Repeated daily administration (30 days) of naphthenic acid

**Reliability**

at doses of 1000 mg/kg orally .. revealed a few cases of (1) CNS depression without analgesia and no loss of the corneal reflex (2) hematological changes, (3) weight loss leading eventually to death due to respiratory arrest, (4) gross morphological changes in the liver and stomach, and (5) histomorphological changes in a few selected organs.

: (4) not assignable

This information is taken from an abstract. The protocol of the study does not appear to be comparable to a guideline study, and the level of detail is insufficient to judge its validity.

**Reference**

(27)

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

### Repeated-Dose Toxicity

#### TEST SUBSTANCE

|   |  |
|---|--|
| <b>Category Chemical:</b>   | 1338-24-5 Naphthenic acids   |
| <b>Test Substance:</b>  | 1338-24-5 Naphthenic acids   |
| <b>Test Substance Purity/Composition and Other Test Substance Comments:</b> | <p>The test sample used in the current program was a blend of naphthenic acids from three sources. The samples were dried under a stream of nitrogen and then re-dissolved in 0.5 mL dichloromethane. The samples were analyzed by GC-MS (Young et al., 2008) and the total ion current mass spectra were collected and tabulated (Holowenko et al., 2002).</p> <p>Based on these data it was determined that there were no significant differences among these samples (Fedorak, 2009). The data indicated that the test material contained constituents with carbon numbers predominantly in the range of C6-C16 (corresponding to a molecular weight range of approximately 116-250) and with a ring distribution of approximately 0 rings (24%), 1 ring (39%), 2 rings (31%), 3 rings (5%) and 4 rings (1%).</p> |
| <b>Category Chemical Result Type:</b>                                       | Measured   |
| <b>Unable to Measure or Estimate Justification:</b>                         | N/A  |

#### METHOD

|                                       |                |
|---------------------------------------|----------------|
| <b>Route of Administration:</b>       | Oral           |
| <b>Other Route of Administration:</b> | N/A            |
| <b>Type of Exposure:</b>              | Gavage         |
| <b>Species:</b>                       | Rat            |
| <b>Other Species:</b>                 | N/A            |
| <b>Mammalian Strain:</b>              | Sprague-Dawley |

## 5. Toxicity

**Id** Naphthenic Acids

**Date** May 15, 2012

|                                    |  |
|------------------------------------|--|
| <b>Other Strain:</b>               | N/A  |
| <b>Gender:</b>                     | Male/female  |
| <b>Number of Animals per Dose:</b> | 12/sex/dose group  |
| <b>Concentration:</b>              | The naphthenic acids were suspended in corn oil to the appropriate concentrations and administered in 10 ml/kg doses.  |
| <b>Dose:</b>                       | 100, 300, 900 mg/kg/day  |
| <b>Year Study Performed:</b>       | 2010   |
| <b>Method/Guideline Followed:</b>  | OPPTS 870.3650, 2000/OECD 422  |
| <b>GLP:</b>                        | Yes.<br>Code of Federal Regulations, Title 21, Volume 1, Part 58. Good Laboratory Practice for Nonclinical Laboratory Studies, revised April 1, 2007.<br>OECD. Guideline for the Testing of Chemicals, Section: Health Effects, Subsection 474. Updated and adopted 21 July, 1997. |
| <b>Exposure Period:</b>            | <b>Value or Lower Exposure Duration:</b> Male dosing was for 28-29 days<br><b>Upper Exposure Duration:</b> Depending on the time at which mating occurred, females were dosed for 39-53 days   |
| <b>Frequency of Treatment:</b>     | Daily  |
| <b>Post-Exposure Period:</b>       | None   |

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

**Method/Guideline  
and Test Condition  
Remarks:**

All rats were examined twice daily for mortality and general health. Detailed physical examinations of all animals were conducted weekly. Additionally, all animals were examined approximately 1 hour after each treatment, and all unusual observations were recorded.

Body weights of male rats were recorded one week prior to test substance administration, on the first day of dose administration, on a weekly basis during the study and at termination. Body weights of female rats were recorded once week prior to test substance administration, on the first day of dose administration and weekly until evidence of copulation was obtained. From that point body weights of female rats were recorded on gestation days (GD) 0, 4, 7, 11, 14, 17, and 20 and on lactation days (LD) 0, 1 and 4 (termination). For females for which there was no evidence of copulation, body weights were recorded weekly until termination. Body weights of offspring were recorded on post-natal day (PND) 1 and then on PND 4, prior to termination. Food consumption by adult animals was also recorded on the same schedule as the body weights.

The potential for nervous system effects was assessed using a functional observation battery (FOB). All rats in the vehicle (corn oil) and naphthenic acid-treated groups were examined prior to dosing, after approximately 28 days of dosing, and, for females, prior to termination. The FOB procedures were based on previously developed protocols (Gad, 1982; Haggerty, 1989; Irwin, 1968; Moser et al., 1988; 1991; O'Donoghue, 1989). The testing was conducted in a sound attenuated room with a white noise generator set to operate at  $70 \pm 10$  dB. The investigators conducting the FOB were not aware of the treatment groups from which the respective animals were taken. The FOB consisted of the following: home cage observations; handling observations; open field observations; sensory observations and neuromuscular observations (Table 2). In addition there were physiological observations including body weight, body temperature and examination for catalepsy. There was also an assessment of locomotor activity which was measured electronically using a computer-controlled system with a series of infrared photobeams in a clear plastic rectangular cage. Animals were tested separately in 60 minute sessions divided into 5 minute intervals.

On the day of scheduled termination, blood samples were taken from all rats in the corn oil (vehicle) and naphthenic acid-treated rats for assessment of hematological and serum chemistry parameters. The hematological investigation included measurements of total leukocyte count, erythrocyte count, hematocrit, mean corpuscular volume, mean corpuscular hemoglobin, mean corpuscular hemoglobin concentration, platelet count, prothrombin time, activated partial prothrombin time, reticulocyte count, mean platelet volume, red cell distribution width, hemoglobin distribution width, differential leukocyte count, and red cell morphology. The serum chemistry investigation included measurements of concentrations of albumin, total protein, globulin, albumin/globulin ratio, total bilirubin, urea nitrogen, creatinine, alkaline phosphatase, alanine aminotransferase, aspartate aminotransferase, gamma glutamyltransferase, glucose, total cholesterol, calcium, chloride, phosphorus, potassium, sodium, triglycerides, and bile acids.

At termination rats were euthanized by carbon dioxide inhalation. Necropsies were conducted on all animals sacrificed *in extremis* or at study termination. Organs were removed weighed if this was planned, and placed in 10% neutral buffered formalin for histologic examination. The disposition of organs and tissues was as listed in the table below. Note that the target organ investigation encompassed male and female reproductive organs to assist in the assessment of potential reproductive effects.

Tissues collected for weights and/or histological evaluation

| Tissue Collected | Weight | Pathological Examination |
|------------------|--------|--------------------------|
| Adrenals         | Yes    | Yes                      |

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

|  |     |        |
|--|-----|--------|
| Aorta  | No  | Yes    |
| Bone with marrow (sternebrae)                  | No  | Yes    |
| Bone Marrow Smear                              | No  | Yes    |
| Brain (Cerebrum, Cerebellum)                   | Yes | Yes    |
| Coagulating Gland                              | No  | Yes    |
| Eyes with Optic Nerve                          | No  | Yes    |
| Esophagus                                      | No  | Yes    |
| Stomach  | No  | Yes    |
| Duodenum                                       | No  | Yes    |
| Jejunum  | No  | Yes    |
| Ileum  | No  | Yes    |
| Cecum  | No  | Yes    |
| Colon  | No  | Yes    |
| Rectum   | No  | Yes    |
| Heart  | Yes | Yes    |
| Kidneys (2)                                    | Yes | Yes    |
| Left femur                                     | No  | Yes    |
| Liver (2 lobes)                                | Yes | Yes    |
| Lungs (fixed by inflation)                     | Yes | Yes    |
| Lymph nodes (axillary, mesenteric, mandibular) |     | No Yes |
| Ovaries with oviducts                          | Yes | Yes    |
| Pancreas                                       | No  | Yes    |
| Peripheral nerve (sciatic)                     | No  | Yes    |
| Pituitary                                      | No  | Yes    |
| Prostate                                       | No  | Yes    |
| Salivary glands                                | No  | Yes    |
| Seminal Vesicles                               | No  | Yes    |
| Skeletal Muscle (rectus femoris)               | No  | Yes    |
| Skin with mammary gland                        | No  | Yes    |
| Spinal cord (cervical)                         | No  | Yes    |
| Spleen   | Yes | Yes    |
| Testes with epididymides                       | Yes | Yes    |
| Thymus   | Yes | Yes    |
| Thyroids (with parathyroids)                   | Yes | Yes    |
| Trachea  | No  | Yes    |
| Urinary Bladder                                | No  | Yes    |
| Uterus with Cervix and Vagina                  | Yes | Yes    |
| Gross Lesions                                  | No  | Yes    |

Mean parental body weights (weekly, gestation and lactation), body weight changes and food consumption, body weight changes, absolute and relative organ weights, clinical pathology values (except for gamma glutamyltransferase), and continuous FOB values were evaluated by one-way analysis of variance (ANOVA) (Snedecor and Cochran, 1980) to determine intergroup differences between the vehicle control and test substance-treated groups. If the ANOVA revealed significant ( $p < 0.05$ ) intergroup variance, Dunnett test (Dunnett, 1964) was used to compare the test substance-treated groups to the control group. Histopathological findings in the test substance-treated groups and FOB parameters yielding scalar or descriptive data were compared to the vehicle control group



## 5. Toxicity

**Id** Naphthenic Acids

**Date** May 15, 2012

using Fisher's Exact Test (Steel and Torrie, 1980). Gamma glutamyltransferase data were evaluated using the Kruskal-Wallis nonparametric ANOVA (Kruskal and Wallis, 1952) to determine intergroup differences between the vehicle control and test substance-treated groups. If the ANOVA revealed significant ( $p < 0.05$ ) intergroup variance, Dunn Test (Dunn, 1964) was used to compare the test substance-treated groups to the vehicle control group.

### TEST RESULTS

#### Concentration ( LOAEL/LOAEC/NOAEL/NOAEC )

| Type  | Population:                | Value Description: | Value or Lower Concentration: | Upper Concentration: | Units: |
|-------|----------------------------|--------------------|-------------------------------|----------------------|--------|
| NOAEL | Male Sprague-Dawley Rats   | Systemic Toxicity  | 100                           | Mg/kg/day            |        |
| NOAEL | Female Sprague-Dawley Rats | Systemic Toxicity  | 100                           | Mg/kg/day            |        |
| NOAEL | Male Sprague-Dawley rats   | Neurotoxicity      | 900                           | Mg/kg/day            |        |
| NOAEL | Female Sprague-Dawley rats | Neurotoxicity      | 900                           | Mg/kg/day            |        |

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

### Results Remarks:

Two high dose females were terminated on LD 2; one was sacrificed *in extremis* due to acute inflammation of the uterus; the other was sacrificed due to total litter loss. All other rats survived to scheduled termination. Clinical observations, which were noted only in high dose group females and approximately an hour of dosing included hunched posture; rocking, lurching, and/or swaying while ambulating; walking on tiptoes; hypoactivity; and shallow respiration. Some of the high dose group males also exhibited hunched posture.

Body weight gain was reduced in high dose group males but the overall difference was less than 10% and the differences were not statistically significant. Among the females, the body weight gain in the high dose group was approximately 4% below control values but not significantly different at the end of the mating period. These differences in weight gain were associated with significantly reduced food consumption in the high dose group animals.

There were no statistically significant differences in parameters assessed as part of the functional observation battery including home cage observations, handling parameters, open field observations, sensory observations or neuromuscular observations. There were some small differences in body weight gain as indicated previously but other physiological parameters (catalepsy, body temperature) were not affected by treatment. There were also no differences in locomotor activity patterns (data not shown).

There were some hematology changes, primarily reductions in parameters related to hemoglobin content which were considered to have been treatment related. However, as is apparent from Table 1, the differences were small and there was no consistency between males and females.

The clinical chemistry values showed a similar pattern. Among males the only statistically significant differences between control were for creatinine (control value =  $0.3 \pm 0.1$  mg/dL versus a value of  $0.4 \pm 0.0$  in the high dose group,  $p < 0.01$ ), and chloride (control value =  $104 \pm 1.1$  mEq/L versus a value of  $102 \pm 1.3$  in the high dose group,  $p < 0.01$ ). Among the female rats, statistically significant differences were found for albumin (control =  $4.3 \pm 0.2$  g/dL versus  $4.7 \pm 0.3$  in the high dose group,  $p < 0.05$ ), total protein (control =  $6.3 \pm 0.3$  g/dL versus  $6.7 \pm 0.4$  in the high dose group,  $p < 0.05$ ), glucose (control =  $115 \pm 11$  mg/dL versus  $130 \pm 8.0$  in the high dose group,  $p < 0.05$ ), cholesterol (control =  $69 \pm 14$  mg/dL in the control versus  $89 \pm 19$  in the high dose group,  $p < 0.05$ ), calcium (control =  $10.6 \pm 0.4$  mg/dL in the control versus  $11.5 \pm 0.6$  in the high dose group,  $p < 0.01$ ), and phosphorus (control =  $3.9 \pm 0.6$  mg/dL versus  $5.5 \pm 1.2$  in the high dose group,  $p < 0.05$ ). All of the differences were small and within the historical range of the laboratory. Additionally, most were significant at only the 0.05 level, and there was no consistency of response between the sexes. In the absence of any corresponding pathological findings, these differences were most likely incidental.

The only notable gross observations were those of pale kidneys in the high dose males and a reduction in the number of *corpora lutea* in the high dose group females. Otherwise, the results of the gross examination were not remarkable. Organ weight determinations in males revealed significant increases in weights of liver, kidney, thyroid/parathyroid and epididymis although the differences in thyroid/parathyroid and epididymal weights were only statistically different when compared on a relative to body weight basis. In females, there was a significant increase in liver weights and significant reductions in lung weights, and absolute uterine weights (table 3). The lung weights were within the historical range for the laboratory, and were not associated with any pathological changes. The uterine weights were not significantly different when compared relative to body weights. All gravid females were in lactational anaestrus and undergoing involution. Uterine weight values all fell within the historical range for the laboratory and were not associated with any gross, histopathologic or clinical pathology changes. Other than the uterine weights there were no microscopic differences in the reproductive organs of the male and female rats.

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

The results of the pathological investigation are summarized in Table 3. Kidney changes, reported in male rats only, were consistent with hyaline-droplet nephropathy ( $\alpha$ 2u-globulin-mediated nephropathy). The liver changes, found in organs from both male and female rats from the high dose group, were described as hepatocellular hypertrophy. Other changes included cortical lymphoid depletion of the thymus in females, primarily in rats from the high dose group. Epithelial hypertrophy and cytoplasmic vacuolation of the thyroid gland was noted in all treated animals, and cytoplasmic vacuolation of the *zona fasciculata* in the adrenal cortex was reported in males from all treatment groups and in high dose group females. The microscopic examination also revealed minimal cardiomyopathy which occurred with increased incidence in the males in the 100, 300 and 900 mg/kg/day groups. The pathologist noted that cardiomyopathy is a common finding in rats (Greaves, 2007a), that the incidence of cardiomyopathy in the treated animals was within the historical range of the laboratory, and that the severity of cardiomyopathy in the treated male rats was similar to or less than the degree of severity found in the control animals. The pathologist also noted that the cardiomyopathy was not associated with any gross observations, organ weight changes or alterations in clinical pathology parameters.

The gross and pathological assessments did reveal some differences that were treatment-related but were unlikely to have been toxicologically important. Liver weights were significantly increased in high dose groups of both male and female rats, and there was also a statistically significant increase in liver weight in the 300 mg/kg/day dose group in the males. The histological findings were essentially limited to minimal evidence of hepatocellular hypertrophy in the high dose group animals. As none of the liver enzyme markers were increased, this was most likely evidence of enhanced metabolic capacity and adaptive rather than adverse (Cattley and Popp, 2002). Kidney weights were significantly elevated in the male rats from the high dose group, but not in the female rats. The histological evidence revealed the presence of hyaline droplets, mostly judged to have been of minimal severity, which increased in frequency in the male rats in a dose-dependent manner. As these were not found in female rats, the histological findings and gender-specificity, suggest the kidney changes were the consequence of an  $\alpha$ -2u-globulin-related process which is male rat specific and not relevant to humans (Hard et al., 2008; Baetcke et al., 1991; Swenberg and McKeeman, 1998 ).

Minimal cardiomyopathy was reported to have increased in a dose-related fashion in male rats but was not considered to have been toxicologically important. In part because this is a common observation in control rats (Greaves et al., 2007b), and, additionally because the incidence was within the historical control range of the laboratory, the severity was not greater than that seen in the control groups, and because these microscopic observations were not associated with any other gross or clinical findings.

Other changes included higher mean thyroid/parathyroid weights with corresponding epithelial hypertrophy and cytoplasmic vacuolation. The histologic changes were mostly judged as minimal. It is plausible that these changes reflected a compensatory response related to the increased metabolic capacity of the liver and more rapid turnover of thyroid hormones (Curran, 1991; Capen, 1997). Lymphoid depletion of the thymus was observed in the high dose females and microscopic findings of cytoplasmic vacuolation of the adrenal cortex were noted in the males and high dose group females. The lymphoid cortical depletion of the thymus and adrenal cortex vacuolation were considered to have been stress responses (Greaves, 2007b) although cytoplasmic vacuolation of the adrenal cortex can also occur spontaneously (Frith et al., 2000) or as the result of pharmacological effects (Greaves, 2007c). The overall no effect level for all systemic effects was 100 mg/kg/day.

Table 1

Results o

| Parameter Measured | Corn Oil Control | 100 mg/kg/day | 300 mg/kg/day | 900 mg/kg/day |
|--------------------|------------------|---------------|---------------|---------------|
|--------------------|------------------|---------------|---------------|---------------|

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

|  |             |             |                          |                          |                          |
|--|-------------|-------------|--------------------------|--------------------------|--------------------------|
| Males, data taken at terminal sacrifice                  |             |             |                          |                          |                          |
| Red Blood Cell Count (10 <sup>6</sup> /ul) <sup>b</sup>  |             | 9.22 ± 0.54 | 9.28 ± 0.28              | 8.91 ± 0.34              | 8.78 ± 0.22 <sup>c</sup> |
| Hemoglobin (g/dL) <sup>b</sup>                           | 15.7 ± 0.72 | 15.8 ± 0.48 | 15.2 ± 0.54              | 14.7 ± 0.44 <sup>c</sup> |                          |
| Hematocrit (%) <sup>b</sup>                              | 48.1 ± 2.4  | 48.6 ± 1.6  | 46.5 ± 1.5               | 45.0 ± 1.8 <sup>d</sup>  |                          |
| Platelet (10 <sup>3</sup> /ul) <sup>b</sup>              | 854 ± 151   | 885 ± 84    | 803 ± 144                | 976 ± 87 <sup>d</sup>    |                          |
| Leukocytes, absolute ((10 <sup>3</sup> /ul) <sup>b</sup> |             | 0.02 ± 0.02 | 0.03 ± 0.02              | 0.02 ± 0.02              | 0.04 ± 0.03 <sup>a</sup> |
| RDW (%) <sup>b</sup>                                     | 11.4 ± 0.4  | 11.5 ± 0.4  | 11.6 ± 0.4               | 12.5 ± 0.6 <sup>d</sup>  |                          |
| HDW (g/dL) <sup>b</sup>                                  | 2.58 ± 0.10 | 2.68 ± 0.12 | 2.76 ± 0.16 <sup>c</sup> | 2.77 ± 0.27 <sup>c</sup> |                          |
| Females, data taken at termination (lactation day 4)     |             |             |                          |                          |                          |
| White blood cell count <sup>b</sup>                      | 5.15 ± 1.30 | 6.89 ± 1.58 | 7.68 ± 2.24 <sup>c</sup> | 7.59 ± 1.85 <sup>c</sup> |                          |
| APTT (seconds) <sup>b</sup>                              | 16.8 ± 1.9  | 15.9 ± 2.3  | 15.8 ± 3.1               | 13.9 ± 1.4 <sup>c</sup>  |                          |
| Lymphocytes, absolute (10 <sup>3</sup> /ul)              |             | 3.32 ± 0.61 | 4.50 ± 1.42              | 5.11 ± 1.75 <sup>c</sup> | 4.96 ± 1.60 <sup>c</sup> |
| Monocytes, absolute (10 <sup>3</sup> /ul)                |             | 0.11 ± 0.10 | 0.24 ± 0.21              | 0.21 ± 0.12              | 0.35 ± 0.23 <sup>c</sup> |

1. Parameters not affected by treatment included:

- a. Males – white blood cell count, mean corpuscular volume (fL), mean corpuscular hemoglobin (pg), mean corpuscular hemoglobin content (g/dL), prothrombin time (sec), APTT (sec), reticulocytes (%), reticulocytes, absolute (10<sup>3</sup>/ul), MPV (fL), neutrophils (%), lymphocytes (%), monocytes (%), eosinophils (%), basophils (%), leucocytes(%), neutrophils, absolute (10<sup>3</sup>/ul), lymphocytes, absolute (10<sup>3</sup>/ul), monocytes, absolute (10<sup>3</sup>/ul), eosinophils, absolute (10<sup>3</sup>/ul), basophils, absolute (10<sup>3</sup>/ul).
- b. Females – red blood cell count (10<sup>6</sup>/ul), Hemoglobin content (g/dL), hematocrit (%), mean corpuscular volume (fL), mean corpuscular hemoglobin (pg), mean corpuscular hemoglobin content (g/dL), platelet count (10<sup>3</sup>/ul), prothrombin time (sec), reticulocytes (%), reticulocytes, absolute (10<sup>3</sup>/ul), MPV (fL), neutrophils (%), lymphocytes (%), monocytes (%), eosinophils (%), basophils (%), leucocytes(%), neutrophils, absolute (10<sup>3</sup>/ul), eosinophils, absolute (10<sup>3</sup>/ul), basophils, absolute (10<sup>3</sup>/ul), Leukocytes absolute (10<sup>3</sup>/ul), RDW (%), HDW (g/dL)

Table 2. Statistically significant changes in terminal body weights and organ weights. The data are given as mean ± SD.

| Parameter           | Sham Control  | Corn Oil Control         | 100 mg/kg/day | 300 mg/kg/day            | 900 mg/kg/day             |
|---------------------|---------------|--------------------------|---------------|--------------------------|---------------------------|
| Males               |               |                          |               |                          |                           |
| Final Body Weight   | 467 ± 27      | 454 ± 45                 | 448 ± 45      | 439 ± 34                 | 412 ± 28                  |
| Liver               | 15.61 ± 1.43  | 13.46 ± 2.01             | 13.98 ± 2.04  | 15.69 ± 1.83*            | 19.94 ± 2.08 <sup>b</sup> |
| Kidney              | 3.51 ± 0.25   | 3.21 ± 0.20 <sup>a</sup> | 3.38 ± 0.39   | 3.53 ± 0.33              | 3.77 ± 0.46 <sup>b</sup>  |
| Heart               | 1.46 ± 0.09   | 1.46 ± 0.21              | 1.41 ± 0.14   | 1.43 ± 0.13              | 1.32 ± 0.13               |
| Thyroid/parathyroid | 0.019 ± 0.002 | 0.019 ± 0.001            | 0.020 ± 0.002 | 0.020 ± 0.002            | 0.020 ± 0.002             |
| Epididymis (LT)     | 0.57 ± 0.14   | 0.60 ± 0.05              | 0.60 ± 0.04   | 0.66 ± 0.05 <sup>a</sup> | 0.63 ± 0.06               |

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

|                           |             |                          |                          |                          |                          |
|---------------------------|-------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Epididymis (RT)           | 0.62 ± 0.04 | 0.62 ± 0.06              | 0.61 ± 0.03              | 0.66 ± 0.04              | 0.65 ± 0.06              |
| <b>Females</b>            |             |                          |                          |                          |                          |
| Final body Weight         | 335 ± 25    | 313 ± 23                 | 301 ± 30                 | 294 ± 24                 | 289 ± 24                 |
| Liver                     | 13.6 ± 2.0  | 11.7 ± 1.5               | 12.1 ± 1.1               | 13.3 ± 1.5               | 17.9 ± 2.4 <sup>b</sup>  |
| Kidney                    | 2.39 ± 0.17 | 2.07 ± 0.18 <sup>a</sup> | 2.11 ± 0.15              | 2.05 ± 0.25              | 2.17 ± 0.19              |
| Heart                     | 1.21 ± 0.23 | 1.10 ± 0.10              | 1.08 ± 0.10              | 1.07 ± 0.11              | 1.01 ± 0.13              |
| Lungs                     | 1.36 ± 0.13 | 1.40 ± 0.13              | 1.26 ± 0.12 <sup>a</sup> | 1.20 ± 0.12 <sup>b</sup> | 1.20 ± 0.07 <sup>b</sup> |
| Uterus/Vagina             | 1.07 ± 0.19 | 1.00 ± 0.14              | 0.86 ± 0.08 <sup>a</sup> | 0.88 ± 0.11 <sup>a</sup> | 0.85 ± 0.12 <sup>a</sup> |
| a = P < 0.05, b = P < 0.0 |             |                          |                          |                          |                          |

Table 3. Summary of microscopic findings from rats following repeated treatment with refined naphthenic acids.

| Doses, mg/kg/day                           | Corn Oil |                |                 |                 | Corn Oil |                |     |                 | Males          |     |
|--|----------|----------------|-----------------|-----------------|----------|----------------|-----|-----------------|----------------|-----|
|  | 100      | 300            | 900             | 100             | 300      | 900            | 100 | 300             |                | 900 |
| N  | 12       | 12             | 12              | 12              | 9        | 12             | 10  | 10              |                |     |
| <b>Kidney</b>                              |          |                |                 |                 |          |                |     |                 |                |     |
| Hyaline Droplets                           | 0        | 3              | 10 <sup>b</sup> | 11 <sup>b</sup> | 0        | 0              | 0   | 0               |                |     |
| Minimal                                    | 0        | 3              | 9 <sup>b</sup>  | 9 <sup>b</sup>  | 0        | 0              | 0   | 0               |                |     |
| Mild                                       | 0        | 0              | 1               | 2               |          |                |     |                 |                |     |
| Nephropathy                                | 0        | 0              | 2               | 9 <sup>b</sup>  | 0        | 0              | 0   | 0               |                |     |
| Minimal                                    | 0        | 0              | 2               | 5 <sup>a</sup>  | 0        | 0              | 0   | 0               |                |     |
| Mild                                       | 0        | 0              | 0               | 4               |          |                |     |                 |                |     |
| <b>Liver</b>                               |          |                |                 |                 |          |                |     |                 |                |     |
| Hypertrophy, hepatocellular, centrilobular | 0        | 0              | 0               | 0               | 0        | 8 <sup>b</sup> | 0   | 0               | 0              |     |
| Minimal                                    | 0        | 0              | 0               | 8 <sup>b</sup>  | 0        | 0              | 0   | 10 <sup>b</sup> |                |     |
| Vacuolation, hepatocellular                | 2        | 1              | 2               | 0               | 0        | 0              | 1   | 0               | 2              |     |
| Minimal                                    | 1        | 1              | 2               | 0               | 0        | 1              | 0   | 2               |                |     |
| Mild                                       | 1        | 0              | 0               | 0               | 0        | 0              | 0   | 0               |                |     |
| <b>Thymus</b>                              |          |                |                 |                 |          |                |     |                 |                |     |
| Depletion, lymphoid, cortex                | 0        | 0              | 0               | 0               | 0        | 0              | 1   | 0               | 5 <sup>a</sup> |     |
| Minimal                                    | 0        | 0              | 0               | 0               | 0        | 1              | 0   | 4               |                |     |
| Mild                                       | 0        | 0              | 0               | 0               | 0        | 0              | 0   | 1               |                |     |
| <b>Thyroid</b>                             |          |                |                 |                 |          |                |     |                 |                |     |
| Hypertrophy, epithelial                    | 0        | 6 <sup>a</sup> | 9 <sup>b</sup>  | 11 <sup>b</sup> | 0        | 0              | 3   | 4               | 8 <sup>b</sup> |     |
| Minimal                                    | 0        | 6 <sup>a</sup> | 9 <sup>b</sup>  | 11 <sup>b</sup> | 0        | 3              | 4   | 6 <sup>a</sup>  |                |     |
| Mild                                       | 0        | 0              | 0               | 0               | 0        | 0              | 0   | 2               |                |     |
| Vacuolation, cytoplasmic                   | 0        | 6 <sup>a</sup> | 9 <sup>b</sup>  | 10 <sup>b</sup> | 0        | 0              | 3   | 4               | 8 <sup>b</sup> |     |
| Minimal                                    | 0        | 6 <sup>a</sup> | 9 <sup>b</sup>  | 10 <sup>b</sup> | 0        | 3              | 4   | 8 <sup>b</sup>  |                |     |

## 5. Toxicity

**Id** Naphthenic Acids

**Date** May 15, 2012

|                                     |  |                          |                |                |   |   |   |   |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
|-------------------------------------|--|--------------------------|----------------|----------------|---|---|---|---|---|---|---------|---|---|---|---|---|---|---|---|------|---|---|---|---|---|---|---|---|----------------|--|--|--|--|--|--|--|--|---------|---|---|---|---|---|---|---|---|------|---|---|----------------|----------------|---|---|---|---|
|                                     | <p><b>Adrenal Cortex</b></p> <table> <tr> <td>Vacuolation, cytoplasmic</td> <td>0</td> <td>2</td> <td>3</td> <td>2</td> <td>0</td> <td>0</td> <td>0</td> <td>2</td> </tr> <tr> <td>Minimal</td> <td>0</td> <td>2</td> <td>3</td> <td>2</td> <td>0</td> <td>0</td> <td>0</td> <td>1</td> </tr> <tr> <td>Mild</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>1</td> </tr> </table> <p><b>Heart</b></p> <table> <tr> <td>Cardiomyopathy</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>Minimal</td> <td>8</td> <td>5</td> <td>4</td> <td>4</td> <td>3</td> <td>3</td> <td>2</td> <td>4</td> </tr> <tr> <td>Mild</td> <td>4</td> <td>7</td> <td>8<sup>a</sup></td> <td>8<sup>a</sup></td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> </table> <p>a = p &lt; 0.05, b = p &lt; 0.01</p> | Vacuolation, cytoplasmic | 0              | 2              | 3 | 2 | 0 | 0 | 0 | 2 | Minimal | 0 | 2 | 3 | 2 | 0 | 0 | 0 | 1 | Mild | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | Cardiomyopathy |  |  |  |  |  |  |  |  | Minimal | 8 | 5 | 4 | 4 | 3 | 3 | 2 | 4 | Mild | 4 | 7 | 8 <sup>a</sup> | 8 <sup>a</sup> | 0 | 0 | 0 | 0 |
| Vacuolation, cytoplasmic            | 0  | 2                        | 3              | 2              | 0 | 0 | 0 | 2 |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| Minimal                             | 0  | 2                        | 3              | 2              | 0 | 0 | 0 | 1 |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| Mild                                | 0  | 0                        | 0              | 0              | 0 | 0 | 0 | 1 |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| Cardiomyopathy                      |  |                          |                |                |   |   |   |   |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| Minimal                             | 8  | 5                        | 4              | 4              | 3 | 3 | 2 | 4 |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| Mild                                | 4  | 7                        | 8 <sup>a</sup> | 8 <sup>a</sup> | 0 | 0 | 0 | 0 |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| <b>Conclusion:</b>                  | The overall no effect level for all systemic effects was 100 mg/kg/day.  |                          |                |                |   |   |   |   |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| <b>RELIABILITY/DATA QUALITY</b>     |  |                          |                |                |   |   |   |   |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| <b>Reliability:</b>                 | 1  |                          |                |                |   |   |   |   |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| <b>Reliability Remarks:</b>         | Reliable without restrictions  |                          |                |                |   |   |   |   |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| <b>Key Study Sponsor Indicator:</b> | Key study for repeated dose toxicity and for reproductive toxicity   |                          |                |                |   |   |   |   |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| <b>REFERENCE</b>                    |  |                          |                |                |   |   |   |   |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |
| <b>Reference:</b>                   | WIL Research (2012). WIL-402011. A Combined 28-Day Repeated Dose Oral (Gavage) Toxicity Study with the Reproduction/Developmental Toxicity Screening Test of Naphthenic Acid with a Mammalian Erythrocyte Micronucleus Test in Rats. WIL Research Laboratories, LLC, Ashland, OH   |                          |                |                |   |   |   |   |   |   |         |   |   |   |   |   |   |   |   |      |   |   |   |   |   |   |   |   |                |  |  |  |  |  |  |  |  |         |   |   |   |   |   |   |   |   |      |   |   |                |                |   |   |   |   |

## 5.5 GENETIC TOXICITY 'IN VITRO'

**Type** : Bacterial reverse mutation assay  
**System of testing** : S. typhimurium TA100, TA1535, TA97, TA98  
**Test concentration** : Not indicated  
**Cycotoxic concentr.** : With and without metabolic activation: >333 µg/plate  
**Metabolic activation** : with and without  
**Result** : Negative  
**Method** : other: US National Toxicology Program protocols  
**Year** : 1993  
**GLP** : Yes  
**Test substance** : other TS: Sodium naphthenate [CAS number 61790-13-4] - Study indicates that it is a C7 naphthenic acid

**Remark** : Test material is a C7 naphthenic acid, whereas those produced commercially are mixtures of naphthenic acids predominantly in the C10-C30 range. Consequently, the results of this study are to be used as supplemental data only.

**Reliability Reference** : (1) valid without restriction (24)

**Type** : Cytogenetic assay  
**System of testing** : Measuring Chromosomal Aberration Frequencies in Chinese Hamster Ovary Cells (CHO)  
**Test concentration** : Without activation: 54, 116 & 250 µg/ml. With activation: 25, 54, 116 & 250 µg/ml.  
**Cycotoxic concentr.** : Not indicated  
**Metabolic activation** : with and without  
**Result** : Negative  
**Method** : other:US National Toxicology program protocols  
**Year** : 1991  
**GLP** : Yes  
**Test substance** : other TS: Sodium naphthenate [CAS number 61790-13-4] - Study indicates that it is a C7 naphthenic acid

**Remark** : Solvent control: water  
 Positive controls:  
 Without metabolic activation Mitomycin C (0.4 ug/ml )  
 With metabolic activation Cyclophosphamide (20 ug/ml)  
 Metabolic activation Arochlor 1254 induced,  
 Sprague-Dawley male rat  
 liver S9 fraction

Test material is a C7 naphthenic acid, whereas those produced commercially are mixtures of naphthenic acids predominantly in the C10-C30 range. Consequently, the results of this study are to be used as supplemental data only.

**Reliability Reference** : (1) valid without restriction (24)

**Type** : Cytogenetic assay

## 5. Toxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

**System of testing** : Measuring Chromosomal Aberration Frequencies in Chinese Hamster Ovary Cells (CHO)

**Test concentration** :

**Cycotoxic concentr.** : Not indicated

**Metabolic activation** : with and without

**Result** :

**Method** : other:US National Toxicology program protocols

**Year** : 1991

**GLP** : Yes

**Test substance** : other TS: Sodium naphthenate [CAS number 61790-13-4] - Study indicates that it is a C7 naphthenic acid

**Remark** : Without activation:  
17, 59, 167, 500 ug/ml (Trial 1)  
100, 150, 200, 250 ug/ml (Trial 2)

With activation:17, 59, 167, 500 ug/ml

Solvent control: water

Positive controls:  
Without metabolic activation - Mitomycin C (0.001 and 0.004 ug/ml )

With metabolic activation - Cyclophosphamide (0.125 and 0.500 ug/ml)

Metabolic activation: Arochlor 1254 induced,  
Sprague-Dawley male rat  
liver S9 fraction

Test material is a C7 naphthenic acid, whereas those produced commercially are mixtures of naphthenic acids predominantly in the C10-C30 range. Consequently, the results of this study are to be used as supplemental data only.

**Result** : Weakly positive (trial 1- without metabolic activation)  
Positive (trial 2 - without metabolic activation)  
Negative (with metabolic activation)

**Reliability Reference** : (1) valid without restriction

(24)



## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

### 5.6 GENETIC TOXICITY 'IN VIVO

| <b>Genetic Toxicity <i>in vivo</i></b>                                      |  |
|---|--|
| <b>TEST SUBSTANCE</b>   |  |
| <b>Category Chemical:</b>   | 1338-24-5 Naphthenic acids   |
| <b>Test Substance:</b>  | 1338-24-5 Naphthenic acids   |
| <b>Test Substance Purity/Composition and Other Test Substance Comments:</b> | <p>The test sample used in the current program was a blend of naphthenic acids from three sources. The samples were dried under a stream of nitrogen and then re-dissolved in 0.5 mL dichloromethane. The samples were analyzed by GC-MS (Young et al., 2008) and the total ion current mass spectra were collected and tabulated (Holowenko et al., 2002).</p> <p>Based on these data it was determined that there were no significant differences among these samples (Fedorak, 2009). The data indicated that the test material contained constituents with carbon numbers predominantly in the range of C6-C16 (corresponding to a molecular weight range of approximately 116-250) and with a ring distribution of approximately 0 rings (24%), 1 ring (39%), 2 rings (31%), 3 rings (5%) and 4 rings (1%).</p> |
| <b>Category Chemical Result Type:</b>                                       | Measured   |
| <b>METHOD</b>   |  |
| <b>Type of Study:</b>   | In vivo mutagenesis (chromosomal aberrations)  |
| <b>Type of Test:</b>  | Micronucleus Test (OPPTS 870.5395)/OECD 474  |
| <b>Route of Administration:</b>   | Oral gavage  |
| <b>Species:</b>   | Rats   |
| <b>Strain:</b>  | Sprague-Dawley   |
| <b>Gender:</b>  | Male and Female  |
| <b>Dose:</b>  | 100, 300, 900 mg/kg/day  |

## 5. Toxicity

**Id** Naphthenic Acids

**Date** May 15, 2012

|   |   |
|---|---|
| <b>Year Study Performed:</b>                                | 2010  |
| <b>Method/Guideline Followed:</b>                           | OPPTS 870.5395/OECD 474   |
| <b>GLP:</b>   | Yes   |
| <b>Duration of Treatment/Exposure Period and Units:</b>     | Approximately 30 days   |
| <b>Frequency of Treatment:</b>                              | Daily   |
| <b>Positive, Negative and Solvent Control Substance(s):</b> | Sham control (no material administered)<br>Negative control (corn oil)<br>Positive control (cyclophosphamide, 60 mg/kg/day)   |
| <b>Post-Exposure Period:</b>                                | None  |
| <b>Number of Animals per Sex per Dose:</b>                  | 6 males/6 females   |
| <b>Method/Guideline and Test Condition Remarks:</b>         | <p>The micronucleus test was consistent with the US EPA guidelines for studies of this type (OPPTS 870.5395) and with OECD 474. The testing was in accordance with Good Laboratory Practice Guidelines of the OECD (OECD, 1997) and the U.S. EPA (CFR, 2007).</p> <p>Bone marrow was collected from all animals at terminal sacrifice and flushed into a centrifuge tube using a syringe containing heat inactivated fetal bovine serum (HI FBS). The bone marrow was centrifuged, the majority of the HI FBS was decanted, and the pellet was re-suspended. Bone marrow smears were prepared by placing single drops of suspension on microscope slides (minimum of two per preparation). The slides were coded, air dried, fixed in methanol and allowed to air dry a second time.</p> <p>Coded slides were stained with acridine orange (Hayashi et al., 1983). A total of 1000 erythrocytes/slide were evaluated (both polychromatic (PCE) and normochromatic erythrocytes (NCE)), and the PCE/NCE ratio was calculated. The number of micronucleated PCEs from a total of 2000 PCEs was then determined for each animal.</p> <p>The percentages of PCEs, micronucleated cells in NCEs, and the ratios of PCEs to total erythrocytes in the test substance- and vehicle-treated groups were compared using ANOV A (Snedecor and Cochran, 1980). If the ANOVA revealed significant (<math>p &lt; 0.05</math>) intergroup variance, Dunnett Test (Dunnett, 1964) was used to compare each test substance-treated group to the vehicle control group. In addition, the positive control and vehicle control groups were compared using a separate parametric one-way ANOVA (Snedecor and Cochran, 1980).</p> |
| <b>TEST RESULTS</b>   |   |
| <b>Systemic Toxicity:</b>                                   | No treatment-related bone marrow effects.   |

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

| <b>Genotoxic Effect:</b>                            | Not mutagenic. The frequencies of micronuclei in in bone marrow from rats treated with refined naphthenic acids did not differ statistically from those in the sham and vehicle control groups. A significant increase in micronucleus frequency was found in material harvested from rats treated with the positive control, cyclophosphamide providing evidence that the test had worked as expected.   |                               |                          |                               |                          |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
|---|---|-------------------------------|--------------------------|-------------------------------|--------------------------|-------------------------|----------|-------|---|-------------|---|-------------|---------|---|-------------|---|-------------|--------------|-------|---|-------------|---|-------------|---------|---|-------------|---|-------------|----------------------------------|-------|---|-------------|---|-------------|---------|---|-------------|---|-------------|-------|---|-------------|---|-------------|---------|---|-------------|---|-------------|---------------|-------|---|-------------|---|-------------|---------|---|-------------|---|-------------|---|-------|-----|--------------------------|----|-------------|---------|----|--------------------------|----|--------------------------|
| <b>Results Remarks:</b>                             | <p>Summary of results of micronucleus data from rats following repeated treatment with refined naphthenic acids.</p> <table border="1"> <thead> <tr> <th>Treatment (N=5)</th> <th>Gender</th> <th>Total MN PCEs/2000 PCEs (N=5)</th> <th>% MN PCEs</th> <th>Total MN NCEs/2000 NCEs</th> </tr> </thead> <tbody> <tr> <td rowspan="2">Corn Oil</td> <td>Males</td> <td>8</td> <td>0.08 ± 0.08</td> <td>3</td> <td>0.54 ± 0.07</td> </tr> <tr> <td>Females</td> <td>8</td> <td>0.08 ± 0.12</td> <td>4</td> <td>0.69 ± 0.11</td> </tr> <tr> <td rowspan="2">Sham Control</td> <td>Males</td> <td>6</td> <td>0.06 ± 0.04</td> <td>3</td> <td>0.52 ± 0.11</td> </tr> <tr> <td>Females</td> <td>8</td> <td>0.08 ± 0.08</td> <td>2</td> <td>0.55 ± 0.17</td> </tr> <tr> <td rowspan="4">Naphthenic Acid<br/>100 mg/kg/day</td> <td>Males</td> <td>7</td> <td>0.07 ± 0.07</td> <td>1</td> <td>0.53 ± 0.09</td> </tr> <tr> <td>Females</td> <td>4</td> <td>0.04 ± 0.04</td> <td>7</td> <td>0.65 ± 0.16</td> </tr> <tr> <td>Males</td> <td>4</td> <td>0.04 ± 0.04</td> <td>3</td> <td>0.49 ± 0.67</td> </tr> <tr> <td>Females</td> <td>5</td> <td>0.06 ± 0.05</td> <td>5</td> <td>0.67 ± 0.13</td> </tr> <tr> <td rowspan="2">900 mg/kg/day</td> <td>Males</td> <td>8</td> <td>0.08 ± 0.08</td> <td>5</td> <td>0.61 ± 0.11</td> </tr> <tr> <td>Females</td> <td>5</td> <td>0.06 ± 0.05</td> <td>5</td> <td>0.75 ± 0.19</td> </tr> <tr> <td rowspan="2">Positive Control (Cyclophosphamide)<br/>60 mg/kg/day</td> <td>Males</td> <td>128</td> <td>1.28 ± 0.14<sup>a</sup></td> <td>13</td> <td>0.40 ± 0.21</td> </tr> <tr> <td>Females</td> <td>97</td> <td>0.97 ± 0.19<sup>a</sup></td> <td>16</td> <td>0.51 ± 0.12<sup>a</sup></td> </tr> </tbody> </table> <p>a. P &lt; 0.05<br/>MN – Micronucleated erythrocytes. PCE – polychromatic erythrocytes. NCE – Normochromatic erythrocytes.</p> | Treatment (N=5)               | Gender                   | Total MN PCEs/2000 PCEs (N=5) | % MN PCEs                | Total MN NCEs/2000 NCEs | Corn Oil | Males | 8 | 0.08 ± 0.08 | 3 | 0.54 ± 0.07 | Females | 8 | 0.08 ± 0.12 | 4 | 0.69 ± 0.11 | Sham Control | Males | 6 | 0.06 ± 0.04 | 3 | 0.52 ± 0.11 | Females | 8 | 0.08 ± 0.08 | 2 | 0.55 ± 0.17 | Naphthenic Acid<br>100 mg/kg/day | Males | 7 | 0.07 ± 0.07 | 1 | 0.53 ± 0.09 | Females | 4 | 0.04 ± 0.04 | 7 | 0.65 ± 0.16 | Males | 4 | 0.04 ± 0.04 | 3 | 0.49 ± 0.67 | Females | 5 | 0.06 ± 0.05 | 5 | 0.67 ± 0.13 | 900 mg/kg/day | Males | 8 | 0.08 ± 0.08 | 5 | 0.61 ± 0.11 | Females | 5 | 0.06 ± 0.05 | 5 | 0.75 ± 0.19 | Positive Control (Cyclophosphamide)<br>60 mg/kg/day | Males | 128 | 1.28 ± 0.14 <sup>a</sup> | 13 | 0.40 ± 0.21 | Females | 97 | 0.97 ± 0.19 <sup>a</sup> | 16 | 0.51 ± 0.12 <sup>a</sup> |
| Treatment (N=5)                                     | Gender  | Total MN PCEs/2000 PCEs (N=5) | % MN PCEs                | Total MN NCEs/2000 NCEs       |                          |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| Corn Oil  | Males   | 8                             | 0.08 ± 0.08              | 3                             | 0.54 ± 0.07              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
|   | Females   | 8                             | 0.08 ± 0.12              | 4                             | 0.69 ± 0.11              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| Sham Control  | Males   | 6                             | 0.06 ± 0.04              | 3                             | 0.52 ± 0.11              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
|   | Females   | 8                             | 0.08 ± 0.08              | 2                             | 0.55 ± 0.17              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| Naphthenic Acid<br>100 mg/kg/day                    | Males   | 7                             | 0.07 ± 0.07              | 1                             | 0.53 ± 0.09              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
|   | Females   | 4                             | 0.04 ± 0.04              | 7                             | 0.65 ± 0.16              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
|   | Males   | 4                             | 0.04 ± 0.04              | 3                             | 0.49 ± 0.67              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
|   | Females   | 5                             | 0.06 ± 0.05              | 5                             | 0.67 ± 0.13              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| 900 mg/kg/day                                       | Males   | 8                             | 0.08 ± 0.08              | 5                             | 0.61 ± 0.11              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
|   | Females   | 5                             | 0.06 ± 0.05              | 5                             | 0.75 ± 0.19              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| Positive Control (Cyclophosphamide)<br>60 mg/kg/day | Males   | 128                           | 1.28 ± 0.14 <sup>a</sup> | 13                            | 0.40 ± 0.21              |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
|   | Females   | 97                            | 0.97 ± 0.19 <sup>a</sup> | 16                            | 0.51 ± 0.12 <sup>a</sup> |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| <b>Conclusion:</b>                                  | Naphthenic acids did not induce chromosomal aberrations under the conditions of the test  |                               |                          |                               |                          |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| <b>RELIABILITY/DATA QUALITY</b>                     |   |                               |                          |                               |                          |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| <b>Reliability:</b>                                 |   |                               |                          |                               |                          |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| <b>Reliability Remarks:</b>                         | Reliable without restrictions   |                               |                          |                               |                          |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| <b>Key Study Sponsor Indicator:</b>                 | Key study for in vivo mutagenic potential   |                               |                          |                               |                          |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |
| <b>REFERENCE</b>                                    |   |                               |                          |                               |                          |                         |          |       |   |             |   |             |         |   |             |   |             |              |       |   |             |   |             |         |   |             |   |             |                                  |       |   |             |   |             |         |   |             |   |             |       |   |             |   |             |         |   |             |   |             |               |       |   |             |   |             |         |   |             |   |             |   |       |     |                          |    |             |         |    |                          |    |                          |

## 5. Toxicity

**Id** Naphthenic Acids

**Date** May 15, 2012

**Reference:**

WIL Research (2012). WIL-402011. A Combined 28-Day Repeated Dose Oral (Gavage) Toxicity Study with the Reproduction/Developmental Toxicity Screening Test of Naphthenic Acid with a Mammalian Erythrocyte Micronucleus Test in Rats. WIL Research Laboratories, LLC, Ashland, OH

## 5.7 CARCINOGENICITY

**Species** : Mouse  
**Sex** : Female  
**Strain** : other: No information available  
**Route of admin.** : Dermal  
**Exposure period** : 2 Years  
**Frequency of treatm.** : 2 times/day  
**Post exposure period** :  
**Doses** : 0.05 ml undiluted  
**Result** :  
**Control group** : no data specified  
**Method** :  
**Year** : 1987  
**GLP** : no data  
**Test substance** : other TS: Calcium naphthenate [CAS number 61789-36-4]

**Method** : Not described; listed in summary as "non-TSCA Protocol/Guideline (voluntary test)"

**Result** : The following statements appeared in the abstract:

Clinical observations included mild irritation, hair loss, shiny patches on the skin, and flaking skin surfaces. These progressed to moderate irritation (observed with sores and scabs on the treated site), or severe irritation caused by large sores or visible ulcers. In the negative control group, no cutaneous tumors developed at or distant to treated sites. Twelve epidermal and one dermal tumor at the treated sites were observed in eight mice that were exposed to the test material. Four of the tumors were malignant and none were benign. The first of these neoplasms were reported after 392 days of treatment. No metastatic tumors were present.

**Reliability** : (4) not assignable

This information is taken from an EPA site that summarizes results of testing submitted under TSCA. The protocol of the study does not appear to be comparable a guideline study as indicated in the summary. In addition, the material used (calcium naphthenate) was judged not to be similar to commercially available naphthenic acids. Consequently, the study is for supplemental use only.

**Reference**

(37)

## 5.8.1 TOXICITY TO FERTILITY

**Type** : One generation study  
**Species** : Rabbit  
**Sex** : male/female  
**Strain** : New Zealand white  
**Route of admin.** : Dermal  
**Exposure period** : 10 weeks  
**Frequency of treatm.** : 6 hr/day, 5 days/week  
**Premating exposure period**  
    **Male** : 10 weeks  
    **Female** : Not exposed  
**Duration of test** : 10 week exposure period prior to mating, gestation and delivery. Total duration of study was approximately 22 weeks

## 5. Toxicity

**Id** Naphthenic Acids  
**Date** May 15, 2012

- No. of generation studies** : 1
- Doses** : Undiluted
- Control group** : other: carrier oil as present in the test substance
- Method** :
- Year** : 1984
- GLP** : no data
- Test substance** : other TS: Calcium naphthenate, Shell SAP Oil [CAS number 61789-36-4]
- Method** : A group of 12 male rabbits was dermally exposed to 2 ml undiluted test substance or control vehicle for six hours daily for 5 days per week for 10 weeks. Body weights were recorded weekly and at the end of 10 weeks, each male was mated with 2 untreated female rabbits. Half of the males of each group were killed and necropsied after mating. The remaining males were weighed weekly and necropsied approximately 12 weeks later. Macroscopic and microscopic examinations of the male reproductive tracts were carried out on all rabbits. The females were necropsied on day 29 of gestation. Numbers of corpora lutea, total implantations, pre-and post-implantation losses and numbers of viable fetuses were recorded.
- Result** : All male rabbits survived with the exception of one control that died after 9 weeks of exposure, having shown no unusual clinical signs. There were no systemic toxicity, application site toxicity, or statistically significant changes in body weights observed in the test animals during the 10 week exposure period or the 12 week post-exposure period. In the male animals, there were no significant changes in the testes weights. In the females, there were no significant differences in the number of implantations, or in pre-and post-implantation losses. In addition, there were no differences in viable fetuses to those females that were mated with exposed males compared to those mated with unexposed males. The study also reported that there were no macroscopic or microscopic pathological findings in the male reproductive tract.
- Reliability** : (2) valid with restrictions  
The study has sufficient detail, however, the protocol does not appear to be comparable to a guideline study. In addition, the material used (calcium naphthenate) was judged not to be similar to commercially available naphthenic acids. Consequently, the study is for supplemental use only.
- Reference** (32)

## 5. Toxicity

**Id** Naphthenic Acids

**Date** May 15, 2012

### Reproductive Toxicity

#### TEST SUBSTANCE

**Category Chemical:** 1338-24-5 Naphthenic acids

**Test Substance:** 1338-24-5 Naphthenic acids

#### Test Substance Purity/Composition and Other Test Substance Comments:

The test sample used in the current program was a blend of naphthenic acids from three sources. The samples were dried under a stream of nitrogen and then re-dissolved in 0.5 mL dichloromethane. The samples were analyzed by GC-MS (Young et al., 2008) and the total ion current mass spectra were collected and tabulated (Holowenko et al., 2002).

Based on these data it was determined that there were no significant differences among these samples (Fedorak, 2009). The data indicated that the test material contained constituents with carbon numbers predominantly in the range of C6-C16 (corresponding to a molecular weight range of approximately 116-250) and with a ring distribution of approximately 0 rings (24%), 1 ring (39%), 2 rings (31%), 3 rings (5%) and 4 rings (1%).

**Category Chemical Result Type :** Measured

**Unable to Measure or Estimate Justification :** N/A

#### METHOD

**Route of Administration:** Oral

**Other Route of Administration:** N/A

**Type of Exposure:** Gavage

**Species:** Rat

**Other Species:** N/A

**Mammalian Strain:** Sprague-Dawley

**Other Strain:** N/A

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

|   |  |
|---|--|
| <b>Gender:</b>                                      | Male/Female  |
| <b>Number of Animals per Dose:</b>                  | 12/sex/dose group  |
| <b>Concentration:</b>                               | The test materials were suspended in corn oil to the appropriate concentrations and administered daily in bolus doses of 10 ml/kg  |
| <b>Dose:</b>  | 100, 300, 900 mg/kg/day  |
| <b>Year Study Performed :</b>                       | 2010   |
| <b>Method/Guideline Followed:</b>                   | OPPTS 870.3650, 2000/OECD 422  |
| <b>GLP:</b>   | Yes.<br>The testing was in accordance with Good Laboratory Practice Guidelines of the OECD (OECD, 1997) and the U.S. EPA (CFR, 2007).  |
| <b>Exposure Period:</b>                             | <b>Value or<br/>Lower Exposure Duration :<br/>Upper Exposure Duration :</b><br><br>Males were exposed 28-29 days<br>Females were exposed for 39-53 days depending on the day on which mating occurred.   |
| <b>Frequency of Treatment:</b>                      | Daily  |
| <b>Post-Exposure Period:</b>                        | None   |
| <b>Method/Guideline and Test Condition Remarks:</b> | <p>Dosing of males was initiated 14 days prior to pairing and throughout a 14 day mating period for a total of 28-29 doses. Dosing of females was also initiated 14 days prior to pairing and continued throughout the mating and gestational periods until study termination on post-natal day 3. The total number of doses ranged from 39-53 depending on the time at which mating occurred.</p> <p>Body weights of female rats were recorded once week prior to test substance administration, on the first day of dose administration and weekly until evidence of copulation was obtained. From that point body weights of female rats were recorded on gestation days (GD) 0, 4, 7, 11, 14, 17, and 20 and on lactation days (LD) 0, 1 and 4 (termination). For females for which there was no evidence of copulation, body weights were recorded weekly until termination. Body weights of offspring were recorded on post-natal day (PND) 1 and then on PND 4, prior to termination. Food consumption by adult animals was also recorded on the same schedule as the body weights.</p> |



## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

Mating was initiated after 14 days of dosing. Rats were mated on a 1:1 basis within each treatment group, females were co-housed with the males. Each mating pair was evaluated on a daily basis during the mating period. Successful mating was confirmed by the presence of a vaginal copulatory plug or the presence of sperm in a vaginal lavage. The day on which mating was confirmed was designated as gestational day 0.

All females confirmed to have mated were placed in plastic maternity cages once mating was confirmed. Females for which copulation was not detected were placed in maternity cages at the end of the 14 day mating period. All females were allowed to deliver and to rear their young to post-natal day 4. On the day of parturition, all pups were examined for viability, for the presence of gross malformations and to assess gender. The numbers of live and stillborn pups were recorded. Length of gestation was calculated as the time from confirmation of mating to the onset of delivery. Females for which there was no evidence of mating were sacrificed on post-cohabitation day 25, those that showed evidence of mating but failed to deliver were euthanized on post-mating day 25, and all others were euthanized on post-natal day 4. Uteri with no microscopic evidence of implantation were opened and subsequently placed in 10% ammonium sulfide solution for detection of early implantation loss (Salewski, 1964).

All offspring were uniquely identified and examined daily for signs of mortality and ill health. All offspring were individually weighed on PND 1 and 4. Gender was assessed on PND 0 and 4. At scheduled termination, PND 4, all surviving offspring were euthanized and discarded without further examination.

Parental mating, fertility, conception and copulation indices were analyzed using the Chi-square test with Yates' correction (Hollander and Wolfe, 1999). Mean parental body weights (weekly, gestation and lactation), body weight changes and food consumption, offspring body weights and body weight changes, gestation length, numbers of former implantation sites, numbers of corpora lutea, number of pups born, live litter size on PND 0, unaccounted for sites, absolute and relative organ weights, and pre-coital intervals were evaluated by one-way analysis of variance (ANOVA) (Snedecor and Cochran, 1980) to determine intergroup differences between the vehicle control and test substance-treated groups. If the ANOVA revealed significant ( $p < 0.05$ ) intergroup variance, Dunnett test (Dunnett, 1964) was used to compare the test substance-treated groups to the control group.

Note that an examination of target organs including male and female reproductive organs was also carried out as part of this test. Organs examined included: ovaries with oviduct, uterus with cervix and vagina, testes with epididymides, prostate and seminal vesicles. The ovaries, testes and uteri were weighed and all were examined histologically. The absolute epididymal weights were increased in the 900 mg/kg/day group but were not significantly different when expressed on a per body weight basis. The uterine weights were also significantly elevated but this was considered to have been a consequence of the fact that the females were all in lactational anaestrous. The uterine weights were within the historical range of the laboratory and were not considered to have been toxicologically important. There were no weight differences in any of the other organs and no pathological changes in any of the reproductive organs at the highest dose tested (900 mg/kg/day).

**Pre-Mating Exposure / Males :**

14 days

**Pre-Mating Exposure / Females:**

14 days

### TEST RESULTS

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

### Concentration ( LOAEL/LOAEC/NOAEL/NOAEC )

| Type  | Population: | Value Description:         | Value or Lower Concentration: | Upper Concentration: | Units:    |
|-------|-------------|----------------------------|-------------------------------|----------------------|-----------|
| NOAEL | Male Rats   | Mating                     | 900                           |                      | Mg/kg/day |
| NOAEL | Female Rats | Mating                     | 900                           |                      | Mg/kg/day |
| NOAEL | Male Rats   | Reproductive Organ Effects | 900                           |                      | Mg/kg/day |
| NOAEL | Female Rats | Reproductive Organ Effects | 900                           |                      | Mg/kg/day |

|                 |   |   |                         |                      |                               |                      |
|-----------------|---|---|-------------------------|----------------------|-------------------------------|----------------------|
| <b>Results:</b> | There was no evidence of treatment-related effects on mating. More specifically, there were no apparent treatment-related differences in frequency of mating, time to mate, mating success or length of the gestational period (table 1). |   |                         |                      |                               |                      |
|                 | Note also as indicated above that there were no toxicologically important changes in the reproductive organs.   |   |                         |                      |                               |                      |
|                 | Table 1. Summary of reproductive parameters assessed in the repeated dose/reproductive toxicity study of refined naphthenic acids.  |   |                         |                      |                               |                      |
|                 |   | <b>Dose (mg/kg/day)</b>                 | <b>Corn Oil Control</b> | <b>100 mg/kg/day</b> | <b>300 mg/kg/day</b>          | <b>900 mg/kg/day</b> |
|                 |   | Number of females paired                | 12                      | 12                   | 12                            | 12                   |
|                 |   | Number of female mated                  | 12                      | 12                   | 10                            | 11                   |
|                 |   | Number of females pregnant <sup>a</sup> | 9                       | 12                   | 10                            | 11                   |
|                 |   | Number of females with litters          | 9                       | 12                   | 10                            | 11                   |
|                 |   | Pre-coital interval (days) <sup>b</sup> | 1.4 ± 0.7               | 2.3 ± 1.1            | <b>4.2 ± 3.3*</b>             | 3.8 ± 3.5            |
|                 |   | Gestation length (days)                 | 21.4 ± 0.6              | 21.9 ± 0.3           | 22.0 ± 0.5                    | 22.1 ± 0.5           |
|                 | Corpora lutea   | 15.6 ± 2.3                              | 14.0 ± 1.4              | 15.1 ± 3.0           | 13.8 ± 2.1                    |                      |
|                 | Implantation sites  | 15.0 ± 2.4                              | 13.6 ± 1.1              | 13.0 ± 1.2           | 12.2 ± 3.7                    |                      |
|                 | Number born   | 14.1 ± 1.9                              | 12.9 ± 1.1              | 12.0 ± 1.6           | <b>10.8 ± 3.8<sup>c</sup></b> |                      |
|                 | Post-Implantation loss (%) <sup>d</sup>   | 6.0                                     | 5.1                     | 7.7                  | 11.5                          |                      |
|                 | a. Pregnant = uterine implantation sites.<br>b. Data summarized as mean ± standard deviation.<br>c. p < 0.05  |   |                         |                      |                               |                      |

|                         |  |
|-------------------------|--|
| <b>Results Remarks:</b> | No reproductive effects were identified. |
|-------------------------|--|

|                    |   |
|--------------------|---|
| <b>Conclusion:</b> | The NOAEL for reproductive effects of refined naphthenic acids is 900 mg/kg/day |
|--------------------|---|

**RELIABILITY/DATA QUALITY**

## 5. Toxicity

**Id** Naphthenic Acids

**Date** May 15, 2012

|                                     |  |
|-------------------------------------|--|
| <b>Reliability:</b>                 | <b>1</b>   |
| <b>Reliability Remarks:</b>         | Reliable without restrictions.   |
| <b>Key Study Sponsor Indicator:</b> | Key study for the assessment of reproductive toxicity  |
| <b>REFERENCE</b>                    |  |
| <b>Reference:</b>                   | WIL Research (2012). WIL-402011. A Combined 28-Day Repeated Dose Oral (Gavage) Toxicity Study with the Reproduction/Developmental Toxicity Screening Test of Naphthenic Acid with a Mammalian Erythrocyte Micronucleus Test in Rats. WIL Research Laboratories, LLC, Ashland, OH |

## 5.8.2 DEVELOPMENTAL TOXICITY/TERATOGENICITY

|                             |   |  |
|-----------------------------|---|--|
| <b>Species</b>              | : | Rat  |
| <b>Sex</b>                  | : | Female   |
| <b>Strain</b>               | : | Wistar   |
| <b>Route of admin.</b>      | : | Gavage   |
| <b>Exposure period</b>      | : |  |
| <b>Frequency of treatm.</b> | : | Daily  |
| <b>Duration of test</b>     | : |  |
| <b>Doses</b>                | : | 0.6, 6 & 60 mg/kg/day  |
| <b>Control group</b>        | : |  |
| <b>Method</b>               | : |  |
| <b>Year</b>                 | : | 2002   |
| <b>GLP</b>                  | : | no data  |
| <b>Test substance</b>       | : | other TS: Naphthenic acid isolated from Athabasca oil sands tailings.<br>[Associated with CAS number 1338-24-5 in Toxline search]  |
| <b>Method</b>               | : | Oral doses of 60 mg/kg/day were given to female rats during pre-breeding, breeding and gestation.  |
| <b>Result</b>               | : | The following description was given:<br>Reproductive toxicity testing demonstrated dramatic effects on female fertility at an oral dosage of 60 mg/kg/day during pre-breeding, breeding and gestation. While control and low dose (6 mg/kg/day) animals achieved 93 and 100% reproductive success, respectively, only 7% of females dosed at 60 mg/kg/d successfully bore a litter. Total cholesterol of the latter group was 30% lower than controls. Mating and ovulation were comparable amongst control and dose groups, while fetal malformations were not apparent in any offspring. Results suggest that the dose-related infertility may be associated with poor embryonic implantation, an effect that might be secondary to depressed sex hormone production requiring cholesterol as a precursor. |
| <b>Reliability</b>          | : | (4) not assignable<br>This information is taken from an abstract. No other details of the study could be obtained. The protocol of the study does not appear to be comparable to a guideline study, and the level of detail is insufficient to judge. However, it may be useful in establishing dose levels for a more in-depth study.   |
| <b>Reference</b>            |   |  |

(31)

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

### DEVELOPMENTAL TOXICITY/TERATOGENICITY

#### TEST SUBSTANCE

|   |  |
|---|--|
| <b>Category Chemical:</b>   | 1338-24-5 Naphthenic acids   |
| <b>Test Substance:</b>  | 1338-24-5 Naphthenic acids   |
| <b>Test Substance Purity/Composition and Other Test Substance Comments:</b> | <p>The test sample used in the current program was a blend of naphthenic acids from three sources. The samples were dried under a stream of nitrogen and then re-dissolved in 0.5 mL dichloromethane. The samples were analyzed by GC-MS (Young et al., 2008) and the total ion current mass spectra were collected and tabulated (Holowenko et al., 2002).</p> <p>Based on these data it was determined that there were no significant differences among these samples (Fedorak, 2009). The data indicated that the test material contained constituents with carbon numbers predominantly in the range of C6-C16 (corresponding to a molecular weight range of approximately 116-250) and with a ring distribution of approximately 0 rings (24%), 1 ring (39%), 2 rings (31%), 3 rings (5%) and 4 rings (1%).</p> |
| <b>Category Chemical Result Type :</b>                                      | Measured   |
| <b>Unable to Measure or Estimate Justification :</b>                        | N/A  |

#### METHOD

|                                       |   |
|---------------------------------------|---|
| <b>Route of Administration:</b>       | Oral  |
| <b>Other Route of Administration:</b> | N/A   |
| <b>Type of Exposure:</b>              | Oral gavage   |
| <b>Species:</b>                       | Rat   |
| <b>Other Species:</b>                 | N/A   |
| <b>Mammalian Strain:</b>              | Sprague-Dawley  |
| <b>Other Strain:</b>                  | N/A   |
| <b>Gender:</b>                        | Female  |
| <b>Number of Animals per Dose:</b>    | 12  |
| <b>Concentration:</b>                 | The naphthenic acids were suspended in corn oil to the appropriate concentrations and administered in 10 ml/kg doses. |
| <b>Dose:</b>                          | 100, 300, 900 mg/kg/day   |

## 5. Toxicity

**Id** Naphthenic Acids

**Date** May 15, 2012

|   |   |
|---|---|
| <b>Year Study Performed :</b>                       | 2010  |
| <b>Method/Guideline Followed:</b>                   | OPPTS 870.3650, 2000/OECD 422   |
| <b>GLP:</b>   | Yes.<br>The testing was in accordance with Good Laboratory Practice Guidelines of the OECD (OECD, 1997) and the U.S. EPA (CFR, 2007).   |
| <b>Exposure Period:</b>                             | <b>Value or<br/>Lower Exposure Duration : 39 days<br/>Upper Exposure Duration : 53 days</b><br><br><b>Dosing was initiated 14 days prior to mating and continued until post-natal day 3.</b>  |
| <b>Frequency of Treatment:</b>                      | Daily   |
| <b>Post-Exposure Period:</b>                        | None  |
| <b>Method/Guideline and Test Condition Remarks:</b> | <p>The rats were obtained from Charles River Laboratories, Raleigh, North Carolina. They were held in the laboratory for a 16 day acclimation period and then randomly divided into treatment groups by weight. Mating was initiated after 14 days of dosing. Rats were mated on a 1:1 basis within each treatment group, females were co-housed with the males. Each mating pair was evaluated on a daily basis during the mating period. Successful mating was confirmed by the presence of a vaginal copulatory plug or the presence of sperm in a vaginal lavage. The day on which mating was confirmed was designated as gestational day 0.</p> <p>All females confirmed to have mated were placed in plastic maternity cages once mating was confirmed. Females for which copulation was not detected were placed in maternity cages at the end of the 14 day mating period. All females were allowed to deliver and to rear their young to post-natal day 4. On the day of parturition, all pups were examined for viability, for the presence of gross malformations and to assess gender. The numbers of live and stillborn pups were recorded. Length of gestation was calculated as the time from confirmation of mating to the onset of delivery. Females for which there was no evidence of mating were sacrificed on post-cohabitation day 25, those that showed evidence of mating but failed to deliver were euthanized on post-mating day 25, and all others were euthanized on post-natal day 4. Uteri with no microscopic evidence of implantation were opened and subsequently placed in 10% ammonium sulfide solution for detection of early implantation loss (Salewski, 1964).</p> <p>All offspring were uniquely identified and examined daily for signs of mortality and ill health. All offspring were individually weighed on post-natal days 1 and 4. Gender was assessed on post-natal days 0 and 4. At scheduled termination, post-natal day 4, all surviving offspring were euthanized and discarded without further examination.</p> <p>Parental mating, fertility, conception and copulation indices were analyzed using the Chi-square test with Yates' correction (Hollander and Wolfe, 1999). Mean parental body weights (weekly, gestation and lactation), body weight changes and food consumption, offspring body weights and body weight changes, gestation length, numbers of former implantation sites, numbers of corpora lutea, number of pups born, live litter size on PND 0, unaccounted for sites, and pre-coital intervals were evaluated by one-way analysis of variance (ANOVA) (Snedecor and Cochran, 1980) to determine intergroup differences between the vehicle control and test substance-treated groups. If the ANOVA revealed significant (<math>p &lt; 0.05</math>) intergroup variance, Dunnett test (Dunnett, 1964) was used to compare the test substance-treated groups to the control group. Mean litter proportions (percent of litter) of males at birth and post-natal survival were evaluated using the Kruskal-Wallis</p> |

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

nonparametric ANOVA (Kruskal and Wallis, 1952) to determine intergroup differences between the vehicle control and test substance-treated groups. If the ANOVA revealed significant ( $p < 0.05$ ) intergroup variance, Dunn Test (Dunn, 1964) was used to compare the test substance-treated groups to the vehicle control group.

### TEST RESULTS

| Type  | Population:<br>Units:      | Concentration ( LOAEL/LOAEC/NOAEL/NOAEC )<br>Value Description: | Value or Lower Concentration: | Upper Concentration: |
|-------|----------------------------|---|-------------------------------|----------------------|
| NOAEL | Female Sprague-Dawley Rats |   | Maternal Effects 900          | Mg/kg/day            |
| NOAEL | F1 offspring               | Offspring delivered   | 300                           | Mg/kg/day            |
| NOAEL | F1 offspring               | Offspring live born   | 100                           | Mg/kg/day            |
| NOAEL | F1 offspring               | Offspring body weights  | 300                           | Mg/kg/day            |

### Results Remarks:

There were no apparent effects on mating. A single female in the 300 mg/kg/day group had a pre-coital interval of 13 days, resulting in a statistically significant increase in pre-coital incidence in this group. Otherwise all of the pairs productively mated and pre-coital intervals were within the historical control range for the laboratory. Note that there was a significant increase in pre-coital interval in the 300 mg/kg group, but this was due to a single female, and, as noted was within the historical range of the laboratory. Accordingly, it was not considered to have been a treatment-related effect.

The length of the gestational period was similar across the groups. There were reductions in the numbers of *corpora lutea* and implantation sites in the high dose group, but the differences were not statistically significant (see Table 1 below). However, there was a significant reduction in the number of offspring born/litter in the high dose group (Table 2). There was also a significant reduction in survival in offspring in the high dose group, and those that did survive had significantly lower body weights than the offspring in the control groups. The number of pups found dead or euthanized *in extremis* during the period PND 0-4 was: control = 1(1), 100 mg/kg/day = 0(0), 300 mg/kg/day = 12(5), and 900 mg/kg/day = 38(8).

Table 1. Summary of reproductive parameters assessed in the repeated dose/reproductive toxicity study of refined naphthenic acids.

| Dose (mg/kg/day)                        | Corn Oil Control | 100 mg/kg/day | 300 mg/kg/day | 900 mg/kg/day                 |
|---|------------------|---------------|---------------|-------------------------------|
| Number of females paired                |                  | 12            | 12            | 12 12                         |
| Number of female mated                  |                  | 12            | 12            | 10 11                         |
| Number of females pregnant <sup>a</sup> |                  | 9             | 12            | 10 11                         |
| Number of females with litters          |                  | 9             | 12            | 10 11                         |
| Pre-coital interval (days) <sup>b</sup> |                  | 1.4 ± 0.7     | 2.3 ± 1.1     | 4.2 ± 3.3* 3.8 ± 3.5          |
| Gestation length (days)                 |                  | 21.4 ± 0.6    | 21.9 ± 0.3    | 22.0 ± 0.5 22.1 ± 0.5         |
| Corpora lutea                           | 15.6 ± 2.3       | 14.0 ± 1.4    | 15.1 ± 3.0    | 13.8 ± 2.1                    |
| Implantation sites                      | 15.0 ± 2.4       | 13.6 ± 1.1    | 13.0 ± 1.2    | 12.2 ± 3.7                    |
| Number born                             | 14.1 ± 1.9       | 12.9 ± 1.1    | 12.0 ± 1.6    | <b>10.8 ± 3.8<sup>c</sup></b> |
| Post-Implantation loss (%) <sup>d</sup> |                  | 6.0           | 5.1           | 7.7 11.5                      |

## 5. Toxicity

Id Naphthenic Acids

Date May 15, 2012

- a. Pregnant = uterine implantation sites.  
 b. Data summarized as mean  $\pm$  standard deviation.  
 c.  $p < 0.05$

Table 2. Survival, viability and growth of offspring following *in utero* exposure to refined naphthenic acids. The data are given as mean  $\pm$  SD.

| Dose (mg/kg/day)   | Corn Oil | 100 mg/kg/day   | 300 mg/kg/day   | 900 mg/kg/day  |
|--|----------|-----------------|-----------------|--|
| Number of viable litters                                   |          | 9               | 12              | 10 11  |
| Number of pups born alive/litter                           |          | 13.9 $\pm$ 1.9  | 12.9 $\pm$ 1.1  | <b>10.1 <math>\pm</math> 4.0<sup>a</sup></b> <b>9.6 <math>\pm</math> 4.0<sup>b</sup></b> |
| Percentage of pups surviving from birth to termination     |          | 88.0 $\pm$ 24.5 | 67.7 $\pm$ 40.6 | 98.1 $\pm$ 3.8 100.0 $\pm$ 0.0   |
| Pups (litters) found dead or euthanized <i>in extremis</i> |          |                 | 1(1)            | 0(0) 12(5) 38(8)   |
| Sex ratio (% males/litter)                                 |          | 58.9 $\pm$ 9.6  | 53.9 $\pm$ 9.6  | 55.2 $\pm$ 19.1 58.1 $\pm$ 22.7  |
| Pup weight PND 1 – males                                   |          | 7.0 $\pm$ 0.5   | 6.7 $\pm$ 0.7   | 6.7 $\pm$ 0.5 <b>5.7 <math>\pm</math> 0.8<sup>a</sup></b>                                |
| Pup weight PND 1 – females                                 |          | 6.6 $\pm$ 0.6   | 6.5 $\pm$ 0.6   | 6.4 $\pm$ 0.4 5.6 $\pm$ 1.1  |
| Pup weight PND 4 – males                                   |          | 9.7 $\pm$ 1.1   | 9.4 $\pm$ 1.2   | 9.4 $\pm$ 0.9 <b>7.2 <math>\pm</math> 1.5<sup>b</sup></b>                                |
| Pup weight PND 4 – females                                 |          | 9.1 $\pm$ 1.0   | 9.0 $\pm$ 1.0   | 8.8 $\pm$ 0.7 <b>7.3 <math>\pm</math> 1.5<sup>b</sup></b>                                |

- a.  $P < 0.05$ , b.  $p < 0.01$

### Conclusion:

Treatment of Sprague-Dawley rats with refined naphthenic acids had no apparent effects on mating and did not produce malformations at the highest dose tested (900 mg/kg/day). However, there were significant reductions in number of offspring, number live born and offspring body weights. The overall no observed adverse effect level was 100 mg/kg/day.

### RELIABILITY/DATA QUALITY

#### Reliability:

#### Reliability Remarks:

Reliable without restrictions.

#### Key Study Sponsor Indicator:

Key study for the assessment of developmental toxicity

### REFERENCE

#### Reference:

WIL Research (2012). WIL-402011. A Combined 28-Day Repeated Dose Oral (Gavage) Toxicity Study with the Reproduction/Developmental Toxicity Screening Test of Naphthenic Acid with a Mammalian Erythrocyte Micronucleus Test in Rats. WIL Research Laboratories, LLC, Ashland, OH



**5.8.3 TOXICITY TO REPRODUCTION, OTHER STUDIES**

**5.9 SPECIFIC INVESTIGATIONS**

**5.10 EXPOSURE EXPERIENCE**

**5.11 ADDITIONAL REMARKS**

**6.1 ANALYTICAL METHODS**

**6.2 DETECTION AND IDENTIFICATION**

## 7. Eff. Against Target Org. and Intended Uses

**Id** Naphthenic Acids  
**Date** May 15, 2012

7.1 FUNCTION

7.2 EFFECTS ON ORGANISMS TO BE CONTROLLED

7.3 ORGANISMS TO BE PROTECTED

7.4 USER

7.5 RESISTANCE

**8.1 METHODS HANDLING AND STORING**

**8.2 FIRE GUIDANCE**

**8.3 EMERGENCY MEASURES**

**8.4 POSSIB. OF RENDERING SUBST. HARMLESS**

**8.5 WASTE MANAGEMENT**

**8.6 SIDE-EFFECTS DETECTION**

**8.7 SUBSTANCE REGISTERED AS DANGEROUS FOR GROUND WATER**

**8.8 REACTIVITY TOWARDS CONTAINER MATERIAL**

- (1) AGS Chemicals Limited. (2003)  
Material Safety Data Sheet, Naphthenic Acid.  
Web Version URL: <http://www.amtrade.co.uk/prodinfo.htm>
- (2) AGS Chemicals Limited. (2003).  
Material Safety Data Sheet, Naphthenic Acid.  
Web Version URL: <http://www.amtrade.co.uk/prodinfo.htm>
- (3) AGS Chemicals Limited. 2003. Material Safety Data Sheet, Naphthenic Acid.  
Web Version URL: <http://www.amtrade.co.uk/prodinfo.htm>
- (4) Brient, J.A., P.J. Wessner, and M.N. Doyle. (1995)  
Naphthenic Acids.  
In: Kirk-Othmer Encyclopedia of Chemical Technology.  
John Wiley & Sons, Inc.
- (5) Brient, J.A., P.J. Wessner, and M.N. Doyle. 1995. Naphthenic Acids. In: Kirk-Othmer Encyclopedia of Chemical Technology.  
John Wiley & Sons, Inc.
- (6) Cairns, J. Jr., A. Scheier, and J.J. Loos. (1965)  
A comparison of the sensitivity to certain chemicals of adult zebra danios *Brachydanio rerio* (Hamilton-Buchanan) and zebra danio eggs with that of adult bluegill sunfish *Lepomis macrochirus* Raf.  
*Notulae Naturae*. No. 381:1-9.
- (7) Cairns, J. Jr., and A. Scheier. (1962)  
The effect of temperature and hardness of water upon the toxicity of naphthenic acids to the common bluegill (*Lepomis macrochirus* Raf.) and the pond snail (*Physa heterostropha* Say).  
*Notulae Naturae*. No. 353: 111 pp. Acad. Nat. Sci. Philadelphia.
- (8) CEATAG (1998)  
Naphthenic acids background information discussion report.  
CEATAG (Conrad Environmental Aquatic Technical Advisory Group).  
Alberta Department of Energy, Edmonton, AB.
- (9) Dorn, P.B. (1992)  
Case Histories - The petroleum refining industry.  
In: Ford, D.L. (ed.). *Water Quality Management Library*, Volume 3, Toxicity Reduction Evaluation and Control.  
Technomic Publishing Co., Lancaster, PA. pp 183 - 223.
- (10) Dourdoroff, P., B.G. Anderson, G.E. Burdick, P.S. Galstoff, W.B. Hart, T. Patrick, E.R. Strong, E.W. Surber, and W.M. VanHorn. (1951)  
Bioassay methods for the evaluation of acute toxicity of industrial wastes to fish.  
*Sew. and Ind. Wastes*. 23(11):1380-1397.
- (11) Exxon (1979)  
Acute Dermal Toxicity of MRD-79-10 in Rabbits  
MB 79-3702

- (12) Exxon (1979)  
Acute Oral Toxicity of MRD-79-10 in Rats,  
MB 79-3702
- (13) Exxon (1979)  
Eye Irritation Study of MRD-79-10 in Rats  
MB 79-3702
- (14) Exxon Corporation. (1980)  
Aquatic bioassay testing of Exxon Corporation's experimental  
compounds (MRD 78-100).  
Report by Battelle Columbus Laboratories, Columbus, Ohio.
- (15) Harris, J.C. (1982)  
Rate of hydrolysis.  
In; Handbook of Chemical Property Estimation Methods.  
W.L. Lyman, W.F. Reehl, and D.H. Rosenblastt, eds.  
Mcgraw-Hill Book Co., New York, NY.
- (16) Hart, W.B., P. Doudoroff, and J. Greenbank. (1945)  
The evaluation of the toxicity of the industrial wastes,  
chemicals and other substances to freshwater fishes  
The Atlantic Refining Company, Philadelphia, PA. 315 pp.
- (17) Havre, T.E. (2002)  
Formation of calcium naphthenate in water/oil systems,  
naphthenic acid chemistry and emulsion stability.  
Ph.D. Thesis, Department of Chemical Engineering, Norwegian  
University of Science and Technology, Trondheim, Norway.  
October 2002.
- (18) Herman, D.C., P.M. Fedorak, and J.W. Costerton. (1993)  
Biodegradation of cycloalkane carboxylic acids in oil sand  
tailings.  
Can. J. Microbiol. 39:576-580.
- (19) Herman, D.C., P.M. Fedorak, M.D. MacKinnon, and J.W.  
Costerton. (1994)  
Biodegradation of naphthenic acids by microbial populations  
indigenous to oils sands tailings.
- (20) Hisaoka, K.K., and H.I. Battle. (1958)  
The normal development stages of the zebra-fish, *Brachydanio  
rerio* (Hamilton-Buchanan).  
J. Morph. 102(2):311-327.
- (21) Mackay, D. (1991)  
Multimedia environmental models; The fugacity approach  
Lewis Publ. CRC Press, Boca Raton, Florida
- (22) Mallinckrodt Baker, Inc. (1997)  
Material Safety Data Sheet No. N0310, Naphthenic Acids (CAS  
No. 1338-24-5).  
Mallinckrodt Baker Inc., Phillipsburg, New Jersey.
- (23) Mallinckrodt Baker, Inc. (1997).  
Material Safety Data Sheet No. N0310, Naphthenic Acids (CAS No. 1338-24-5).  
Mallinckrodt Baker Inc., Phillipsburg, New Jersey.
- (24) NTP. (2003)  
<http://ntp-server.niehs.nih.gov/htdocs/Overviews/GenProtocolsg.html>.

- (25) OECD (1995)  
OECD Guideline 104, Vapor Pressure.  
OECD,(Organization for Economic Cooperation and Development)  
Paris, France.
- (26) Peltier, W.H., and C.I. Weber, eds. (1985)  
Method for measuring acute toxicity of effluents to  
freshwater and marine organisms, 3rd edition.  
Environmental Monitoring and Support Laboratory, U.S.  
Environmental Protection Agency, Cincinnati, OH. EPA  
600/4-85-014. 230 pp.
- (27) Pennisi, S., and V.D. Lynch. (1977)  
Pharmacologist 19: 181. [meeting abstract]
- (28) Rockhold, W.T. (1955)  
The toxicity of naphthenic acids and their metal salts.  
Archs Ind Hlth 12, 477-482.
- (30) Rogers, V.V., M. Wickstrom, K.Liber, and M.D. MacKinnon.  
(2002)  
Acute and subchronic mammalian toxicity of naphthenic acids  
from oil sands tailings.  
Tox. Sci. 66: 347-355.
- (31) Rogers, V.V., M. Wickstrom, K.Liber, and M.D. MacKinnon.  
(2002)  
Mammalian toxicity of naphthenic acids derived from the  
Athabasca Oil Sands (AOS).  
Toxicologist 66(1-S): 64-5. [meeting abstract]
- (32) Shell Oil (1983)  
Toxicity studies on oil additives: one generation reproduction study in male rabbits  
repeatedly treated dermally with SAO Oil for 10 weeks  
SBER.84.002
- (33) SocTech, S.A. (2003)  
Product Data Sheet, Naphthenic Acids.  
Web Version URL:  
<http://www.soctech.ro/English/Produse/1acizinaft.htm>
- (34) SocTech, S.A. (2003).  
Product Data Sheet, Naphthenic Acids.  
Web Version URL: <http://www.soctech.ro/English/Produse/1acizinaft.htm>
- (35) SocTech, S.A. 2003. Product Data Sheet, Naphthenic Acids. Web Version URL:  
<http://www.soctech.ro/English/Produse/1acizinaft.htm>
- (36) Stephan, C.E. (1977)  
Method for calculating an LC50.  
In: Aquatic Toxicology and Hazard Evaluation, ASTM STP 634.  
American Society for Testing and Materials, Philadelphia,  
PA. pp 65-84.
- (37) U.S. EPA (2003)  
Chemical Information Collection and Data Development  
(Testing).  
<http://www.epa.gov/opptintr/chemtest/naphthst.htm>.

## 9. References

**Id** Naphthenic Acids  
**Date** May 15, 2012

- (38) U.S. EPA. (2000)  
EPI (Estimation Programs Interface) Suite, V 3.10,  
US Environmental Protection Agency, Office of pollution  
prevention and toxics, Washington DC.



### 10.1 END POINT SUMMARY

### 10.2 HAZARD SUMMARY

### 10.3 RISK ASSESSMENT